



REPORT GUIDE

# Client Reports

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# Introduction

For more than 20 years, Wealth Reporting and Insights has helped advisors demonstrate their value with consolidated performance reporting. A commitment to data quality and resiliency gives our client reports an accurate, single view of portfolio information that help build trusted relationships. These versatile reports display information for individual clients or user-defined portfolios, which are households that can represent any combination of clients or accounts.

Consisting of holdings, transactions and performance reports, the client reports are available in three formats: a Web-based on-screen display, Adobe Acrobat PDF or comma-separated value (csv), for use in spreadsheet applications. You can quickly and easily produce these reports to have the critical information available to create holistic financial plans and report on portfolio performance. All reports can be generated for a specific date or time period. Available time periods are as follows:

Month-to-Date	1 Month Trailing
Quarter-to-Date	1 Quarter Trailing
Year-to-Date	1 Year Trailing
Last Month	3 Year Trailing
Last Quarter	5 Year Trailing
Last Year	10 Year Trailing
Since Start Date	1 Year Trailing Latest Month-End
Since Start Date to Latest Month-End	3 Year Trailing Latest Month-End
Since Start Date to Latest Quarter-End	5 Year Trailing Latest Month-End
Since Start Date to Latest Year End	10 Year Trailing Latest Month-End
Year-to-Date Latest Month-End	1 Year Trailing Latest Quarter-End
Quarter-to-Date Latest Month-End	3 Year Trailing Latest Quarter-End
Start Day to End Day	5 Year Trailing Latest Quarter-End

## BATCH REPORTING

Albridge's easy-to-use batch reporting automates the preparation and distribution of report packages to clients. Reports can be set to automatically print or be distributed to clients via email monthly, quarterly or yearly, to keep them informed on how they are progressing toward their financial goals.

# Report Packages

You can create up to three comprehensive report packages where individual reports can be combined into one package. You can name the packages and select the order in which they are presented. As with all printed reports, the last page includes the appropriate compliance disclosure.

## Quarterly Performance Report

Portfolio Name  
02/23/16-03/31/2016

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Prepared For  
**Client Firstname Lastname**

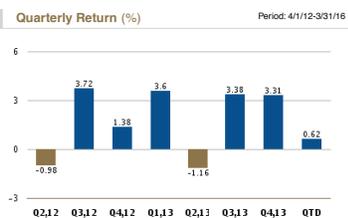
Prepared on 04/23/16

Prepared By  
Advisor Firstname Lastname



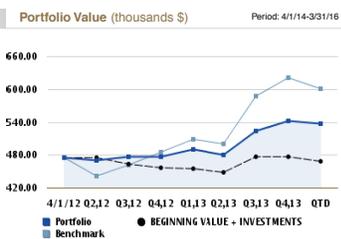
Portfolio Monitor  
Portfolio Name

Prepared for: Client Firstname Lastname



Top 10 Holdings As of: 3/31/16

ASSET	TICKER	VALUE(\$)	% PORTFOLIO
BANK DEPOSIT SWEEP PROGRAM	QPRMQ	97,644	18.17
METLIFE MODERATE STRATEGY PORT		88,309	16.43
GUIDEPATH ABS RET ASST ALLOC SVC	GPARX	72,141	13.42
BLACKROCK MONEY MARKET PORT		34,802	6.48
AMERICAN FUNDS SMALLCAP WORLD A	SMCWX	24,292	4.52
AMERICAN FUNDS AMCAP A	AMCPX	20,555	3.82
AMERICAN FUNDS NEW ECONOMY A	ANEFX	18,552	3.45
AMERICAN FUNDS AMER MUTUAL FD A	AMRMX	18,506	3.44
METLIFE DEFENSIVE STRATEGY PORT		18,237	3.39
AMERICAN FUNDS INV CO OF AMER A	AIVSX	16,184	3.01
<b>Top Ten Total</b>		<b>\$409,222</b>	<b>76.14%</b>



Portfolio Return (value in \$) Period: 1/1/16-3/31/16

SELECTED PERIOD	QUARTER TO DATE	YEAR TO DATE	LAST YEAR	SINCE INCEPTION	
Beginning Value	542,140	542,140	542,140	476,707	0
Net Contribution	-8,132	-8,132	-8,132	19,856	310,732
Change In Value	3,419	3,419	3,419	45,578	226,695
Ending Value	537,427	537,427	537,427	542,140	537,427
Return	0.62%	0.62%	0.62%	9.36%	6.77%
Benchmark: BR_Man_model1 Benchmark <sup>61</sup>	-1.95%	-1.95%	-1.95%	23.29%	7.01%

<sup>61</sup> BR\_Man\_model1 is comprised of 70% MSCI Developed EAFE Free (TRG).

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# Account Performance

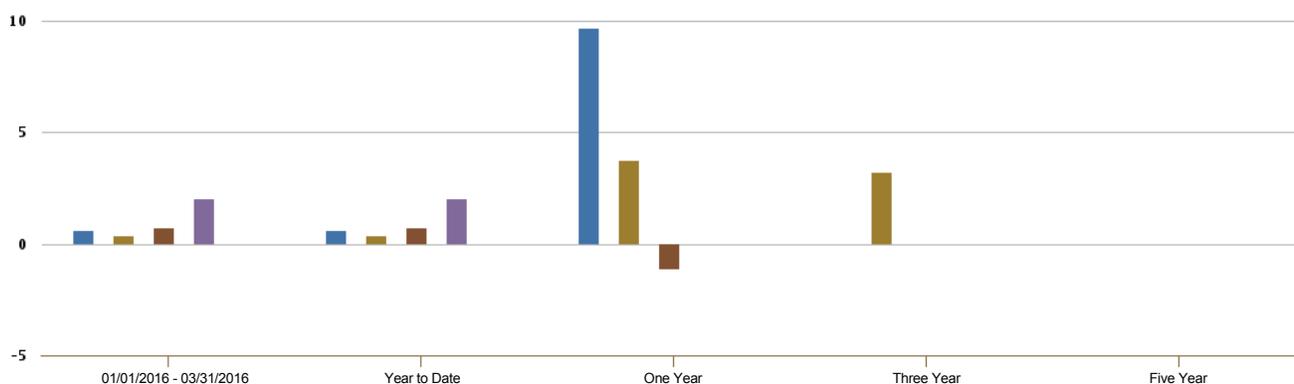
The Account Performance Report lists all the accounts in your client's portfolio and covers performance over multiple time periods: selected period, year-to-date, one year, three year, five year and since inception. You can assign individual or composite benchmarks to the accounts to compare performance.



## Account Performance Portfolio Name

Prepared for: Client Firstname Lastname

(%) Period: 1/1/16 - 3/31/16



ACCOUNT	ACCOUNT NUMBER	VALUE (\$)	1/1/16 - 3/31/16	YEAR TO DATE	ONE YEAR	THREE YEARS	FIVE YEARS	SINCE INCEPTION	INCEPTION DATE
ROBERT LAHM & ASSOCIATES	584800 B9F	280,433	0.55	0.55	9.66	--	--	14.95	9/23/11
EE ASSET MANAGEMENT AA SMALL CAP CORE CONCENTRAT1	01101995449	141,348	0.31	0.31	3.72	3.16	--	5.05	10/14/09
BR_Man_model2 Benchmark <sup>61</sup>			-0.93	-0.93	16.84	9.18	--	9.68	
EE ASSET MANAGEMENT AA SMALL CAP CORE CONCENTRAT1	03111338 000	73,948	0.72	0.72	-1.17	--	--	-0.68	3/8/12
SN_MGCB_COMP Benchmark <sup>61</sup>			1.70	1.70	0.75 <sup>62</sup>	6.62	6.62	2.10 <sup>62</sup>	
THE OLIVER WENDELL	03004721 000	41,698	2.02	2.02	--	--	--	4.03	8/15/13
<b>Total</b>		<b>\$537,427</b>							

<sup>61</sup> SN\_MGCB\_COMP is comprised of 40% BofA Merrill Lynch Municipals 4-6 Year, 30% Barclays U.S. Municipal, 30% BofA Merrill Lynch Municipals 3-7 Year.

<sup>62</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Account Performance Detail

The Account Performance Detail Report displays the bar chart return of each account along with the starting balance, net contributions, change in value, and ending balance.

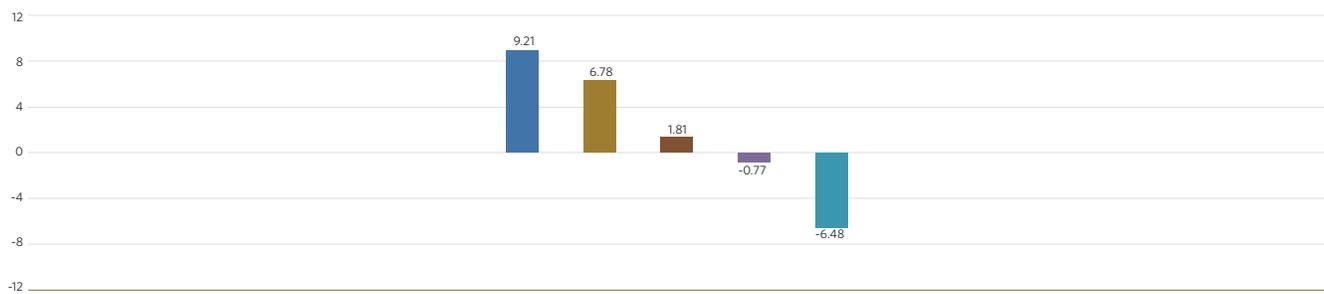


## Account Performance Detail Combined Account Portfolio

Prepared for: Sample Investor

Period: 12/22/16 - 3/21/17

### Performance (%)



### Core Accounts

ACCOUNT	ACCOUNT NUMBER	BEGINNING VALUE (\$)	NET CONTRIBUTIONS (\$)	CHANGE IN VALUE (\$)	ENDING VALUE (\$)	RETURN (%)
Rushmore Investment Advisors Large Cap Growth	XXXXX5927	4,13,567	0	38,060	4,51,627	9.21
AllianceBernstein L.P. US Large Cap Growth	XXXXX9763	3,38,790	-19,500	22,052	3,41,342	6.78
Delaware Investments Large Cap Growth	XXXXX2062	1,40,351	4,720	2,580	1,47,651	1.81
Eaton Vance Management 7 - Year Municipal Bond	XXXXX1919	5,81,622	-9,125	-4,515	5,67,981	-0.77
AllianceBernstein L.P. US Large Cap Growth	XXXXX1839	1,90,632	0	-12,347	1,78,284	-6.48
<b>Portfolio Total:</b>		<b>\$1,664,963</b>	<b>-\$23,904</b>	<b>\$45,829</b>	<b>\$1,686,888</b>	<b>2.78%</b>

<sup>a</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Account Summary

The Account Summary Report displays all of the client accounts included in the report with identifying information such as tax status and market value.



## Account Summary Combined Account Portfolio

Prepared for: Sample Investor

As of: 2/1/17

### Sample Investor 1

ACCOUNT NAME	ACCOUNT NUMBER	TAX STATUS	ESTIMATED ANNUAL YIELD (%)	ESTIMATED ANNUAL INCOME (\$)	VALUE (\$)	% OF TOTAL
Investor Retirement	2FG329357	Qualified	3.05	216	67,528	36.27
Investor Roth IRA	2FG329357	Qualified	4.99	648	31,302	16.81
Investor Roth IRA	2FG329357	Qualified	6.87	4,887	12,945	6.95
Investor Tax-Deferred IRAs	2NC021390	Qualified	3.58	-- <sup>6</sup>	5,580	3.00
Investor 15754212	2NC027365	Non-Qualified	-- <sup>6</sup>	-- <sup>6</sup>	5,409	2.90
Investor 12354564	2NC027365	Non-Qualified	-- <sup>6</sup>	2,225	456	0.24
Investor 13754212	2NC031391	Non-Qualified	8.13	2,632	23,796	12.78
Investor 47774212	2NC031391	Non-Qualified	5.18	1,452	17,968	9.65
Investor 45754212	2NC031391	Non-Qualified	4.89	1,684	12,494	6.71
Investors 1 and 2	772525589	Undefined	3.22	765	8,725	4.69
<b>Sample Investor 1 Total:</b>				<b>\$6,874</b>	<b>\$186,207</b>	<b>100%</b>

### Sample Investor 2

ACCOUNT NAME	ACCOUNT NUMBER	TAX STATUS	ESTIMATED ANNUAL YIELD (%)	ESTIMATED ANNUAL INCOME (\$)	VALUE (\$)	% OF TOTAL
Investor Retirement <sup>M</sup>	2FG329357	Qualified	3.01	216	67,528	45.46
Investor Roth IRA	2FG329357	Qualified	4.99	648	31,302	21.07
Investor Roth IRA	2FG329357	Qualified	6.69	4,887	12,945	8.71
Investor Tax-Deferred IRAs	2NC021390	Qualified	-- <sup>6</sup>	-- <sup>6</sup>	7,580	5.10
Investor 15754212	2NC027365	Non-Qualified	-- <sup>6</sup>	-- <sup>6</sup>	5,409	3.64
Investor 12354564	2NC027365	Undefined	-- <sup>6</sup>	2,225	23,796	16.02
<b>Sample Investor 2 Total:</b>				<b>\$7,976</b>	<b>\$186,207</b>	<b>100%</b>
<b>Total:</b>				<b>\$14,850</b>	<b>\$275,588</b>	

<sup>M</sup> This account represents a Manual Account.

<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

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# Account Value and Performance

The Account Value and Performance Report provides account-level performance information on daily, monthly, quarterly and yearly periods. The report is configurable within the Report Settings screen.



## Account Value and Performance Combined Account Portfolio

Prepared for: Sample Investor

Period: 9/19/13 - 8/20/17

### Core Accounts

#### Sample Investor 1

Account Name: Sample Account 1

Account Number: XXXXX2945

Manager: Spectrum Advisor

Managed Account Type: Sample Account

Account Type: IRA

Program: Sample Program

PERIOD	BEGINNING VALUE (\$)	NET CONTRIBUTION (\$)	CHANGE IN VALUE (\$)	ENDING VALUE (\$)	RETURN (%)
<b>2013*</b>	<b>390491</b>	<b>5000</b>	<b>14508</b>	<b>410000</b>	<b>5.00</b>
Q3, 13: 9/19/13-9/30/13*	374757	1000	4603	380360	1.50
Q4, 13: 10/1/13-12/31/13	380360	1000	28639	410000	7.79
<b>2014</b>	<b>410000</b>	<b>5000</b>	<b>9317</b>	<b>424317</b>	<b>3.49</b>
Q1, 14: 1/1/14-3/31/14	410000	1000	1360	412360	0.58
Q2, 14: 4/1/14-6/30/14	412360	1500	3031	416891	1.10
Q3, 14: 7/1/14-9/30/14	416891	1000	2289	420180	0.79
Q4, 14: 10/1/14-12/31/14	420180	1500	2636	424317	0.98
<b>2015</b>	<b>424317</b>	<b>-25000</b>	<b>6581</b>	<b>405898</b>	<b>-4.34</b>
Q1, 15: 1/1/15-3/31/15	424317	0	1043	425360	0.25
Q2, 15: 4/1/15-6/30/15	425360	0	2531	427891	0.60
Q3, 15: 7/1/15-9/30/15	427891	-25000	-710	402180	-6.01
Q4, 15: 10/1/15-12/31/15	402180	0	3717	405898	0.92
<b>2016</b>	<b>405898</b>	<b>2000</b>	<b>-59141</b>	<b>348757</b>	<b>-14.08</b>
Q1, 16: 1/1/16-3/31/16	405898	2000	-538	407360	0.36
Q2, 16: 4/1/16-6/30/16	407360	2000	-18468	390891	-4.04
Q3, 16: 7/1/16-9/30/16	390891	0	-35710	355180	-9.14
Q4, 16: 10/1/16-12/31/16	355180	-2000	-4423	348757	-1.81
<b>2017*</b>	<b>348757</b>	<b>8000</b>	<b>-8975</b>	<b>347782</b>	<b>-0.28</b>
Jan, 17	348757	1000	-17396	332360	-4.70
Feb, 17	332360	1000	-4468	328891	-1.04
Mar, 17	328891	1000	13289	343180	4.34
Apr, 17	343180	1000	-517	343663	0.14
May, 17	343663	1000	-2147	342516	-0.33

\*This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

Quarterly Report Package

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# Accrual Detail

The Accrual Detail Report shows the components of accrual calculations for client accounts and portfolios. This enables you to track calculations and locate accrual information.



## Accrual Detail Combined Account Portfolio

Prepared for: Sample Investor

As of: 3/21/17

### Sample Investor 1

**Account Name:** Sample Account 1

**Account Number:** XXXXX7355

**Account Type:** Individual

ASSET	TICKER	PRICE (\$)	QUANTITY	BASE VALUE (\$)	DAY COUNT METHOD	COUPON/FACTOR EFFECTIVE DATE	COUPON (%)	FACTOR (%)	NUMBER OF DAYS	ACCRUAL (\$)	TOTAL VALUE (\$)	
INDEPENDENCE MO SCH DIST CROSSOVER-RFDG 5.000% 03/01/15 B/EDTD 04/01/04		1.10	25,000.00	27,504.75	NASD 30/360	03/01/08	5.00	1.00	67	232.64	27,737.39	
MISSOURI ST HWYS TRANSN COMMN ST RD REV FIRST LIEN-SER A 5.000% 05/01/11 B/EDTD 07/14/05		1.06	40,000.00	42,535.20	NASD 30/360	05/01/08	5.00	1.00	7	38.89	42,574.09	
MISSOURI ST ENVIRONMENTAL IMPT &ENERGY RES AUTH WTR POLL CTL REV RFDG 5.500% 07/01/13 B/E		1.11	40,000.00	44,542.00	NASD 30/360	01/01/08	5.50	1.00	127	776.11	45,318.11	
ROCKFORD MICH PUB SCHS RFDG-SER A 5.000% 05/01/12 B/EDTD 03/13/02		1.07	25,000.00	26,721.25	NASD 30/360	05/01/08	5.00	1.00	7	24.31	26,745.56	
SAINT CHARLES CNTY MO FRANCIS HOWELL SCH DIST CROSSOVER RFDG-SER A 5.250% 03/01/14 B/E		1.09	25,000.00	27,177.50	NASD 30/360	03/01/08	5.25	1.00	67	244.27	27,421.77	
ST LOUIS MO BRD 5.25% GO UTX DUE 04/01/19		1.11	25,000.00	27,828.00	NASD 30/360	04/01/08	5.25	1.00	37	134.90	27,962.90	
										<b>Account Total:</b>	<b>\$1,451.11</b>	<b>\$197,759.81</b>
										<b>Investor Total:</b>	<b>\$1,451.11</b>	<b>\$197,759.81</b>

^ This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Asset Allocation

The Asset Allocation Report allows you to select a classification level such as Broad or Style providing an overview of the asset mix of securities in a given portfolio.

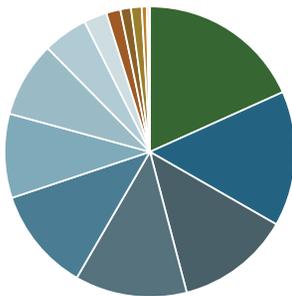


Asset Allocation  
Portfolio Name

Prepared for: Client Firstname Lastname

As of: 3/31/16

Combined Total



INVESTMENT STYLE	VALUE (\$)	(%)
Cash And Cash Alternatives	348,059	18.30
Large Cap Core	286,374	15.00
Large Cap Growth	240,403	12.60
Large Cap Value	237,111	12.40
Mid Cap Core	219,411	11.50
Mid Cap Value	177,887	9.30
Small Cap Core	159,863	8.40
Small Cap Value	94,946	5.00
International Equity	48,962	2.60
Short-term Taxable	29,728	1.60
Intermediate-term Taxable	22,530	1.20
Long-term Taxable	22,471	1.20
Short-term Tax-Exempt	10,709	0.60
Intermediate-term Tax-exempt	4,820	0.30
Long-term Tax-exempt	1,797	0.10
<b>Total:</b>	<b>\$1,905,077</b>	<b>100%</b>

<sup>^</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Advisor Firstname Lastname

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# Asset Class Performance

The Asset Class Performance Report displays a pie chart of your client's current asset allocation. It also details each asset class, the total value of asset class positions and the percentage that each portfolio asset class entails. If you provide Albridge with asset class-to-benchmark information, we can generate a view for each asset class against its appropriate benchmark.

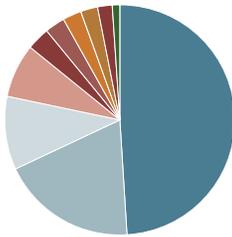


## Asset Class Performance Combined Account Portfolio

Prepared for: Sample Investor

As of: 4/30/12

### Investment Style



INVESTMENT STYLE	VALUE (\$)	(%)
US LARGE CAP	115,827	49.0
US MID CAP	44,910	19.0
US SMALL CAP	24,349	10.3
REIT	17,824	7.5
DEVELOPED NON-US EQUITY	7,607	3.2
EMERGING MARKETS EQUITY	6,651	2.8
CORE FIXED INCOME	6,186	2.6
HIGH YIELD FIXED INCOME	5,660	2.4
EMERGING MARKETS FIXED INCOME	4,858	2.1
CASH EQUIVALENTS	2,500	1.1
<b>Total:</b>	<b>\$236,370</b>	<b>100%</b>

### Investment Style

Period: 10/1/12 - 12/31/12

INVESTMENT STYLE	SELECTED PERIOD (%)	YEAR TO DATE (%)	ONE YEAR (%)	THREE YEARS (%)	FIVE YEARS (%)	SINCE START DATE (%)	START DATE
US LARGE CAP	-1.26	-1.26	24.70	-- <sup>6</sup>	-- <sup>6</sup>	29.80	10/2/06
S & P 500	-1.33	-1.33	22.35	-- <sup>6</sup>	-- <sup>6</sup>	27.00	
US MID CAP	0.89	0.89	27.44	-- <sup>6</sup>	-- <sup>6</sup>	33.32	3/4/05
Russell MidCap	0.12	0.12	24.15	-- <sup>6</sup>	-- <sup>6</sup>	30.73	
US SMALL CAP	-2.51	-2.51	-- <sup>6</sup>	-- <sup>6</sup>	-- <sup>6</sup>	19.61 <sup>6</sup>	6/29/07
Russell 2000	-2.59	-2.59	-- <sup>6</sup>	-- <sup>6</sup>	-- <sup>6</sup>	31.00 <sup>6</sup>	
REIT	6.36	6.36	4.83	-- <sup>6</sup>	-- <sup>6</sup>	9.71	10/2/06
MSCI US REIT (TRN)	6.39	6.39	2.00	-- <sup>6</sup>	-- <sup>6</sup>	6.89	

<sup>6</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

Quarterly Report Package

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# Comparative Holdings by Classification

The Comparative Holdings by Classification Report displays asset level performance by asset type and investment objective. With this report, you can show clients both the individual and overall performance of the holdings and asset classes in their portfolios.



## Comparative Holdings by Classification Combined Account Portfolio

Prepared for: Sample Investor

Period: 10/1/16 - 12/31/16

Account Type: Cash

Investment Objective: Cash

ASSET	BEGINNING VALUE (\$)	NET CONTRIBUTION (\$)	CHANGE IN VALUE (\$)	ENDING VALUE (\$)	RETURN (%)
LVIP MONEY MARKET - SV CL	91,213.09	-1,949.01	110.90	89,379.97	0.12
<b>Cash Total:</b>	<b>\$91,213.09</b>	<b>-\$1,949.01</b>	<b>\$110.90</b>	<b>\$89,379.97</b>	<b>0.12%</b>

Account Type: US Stocks

Investment Objective: Large Cap - Blend

ASSET	BEGINNING VALUE (\$)	NET CONTRIBUTION (\$)	CHANGE IN VALUE (\$)	ENDING VALUE (\$)	RETURN (%)
AWR MUTUAL FUND (30%)	22,420.10	-22,500.00	94.69	14.79	..7
INTEGRATED DATA CORP COM	0.30	0.00	0.05	0.35	16.67
ON2 TECHNOLOGIES INC COM	223.19	0.00	-6.99	216.20	-3.13
<b>LARGE CAP - BLEND Total:</b>	<b>\$ 22,643.59</b>	<b>-\$ 22,500.00</b>	<b>\$ 87.75</b>	<b>\$ 231.34</b>	<b>6.46%</b>

Investment Objective: Small Cap - Growth

ASSET	BEGINNING VALUE (\$)	NET CONTRIBUTION (\$)	CHANGE IN VALUE (\$)	ENDING VALUE (\$)	RETURN (%)
DIVIDEND CAPITAL	11,075.10	0.00	174.45	11,249.55	1.58
JENNISON NATURAL RESOURCES FD CLC	24,278.43	0.00	4,439.54	28,717.97	18.29
<b>SMALL CAP - GROWTH Total:</b>	<b>\$ 35,353.53</b>	<b>\$ 0.00</b>	<b>\$ 4,613.99</b>	<b>\$ 39,967.53</b>	<b>13.05%</b>
<b>US STOCKS Total:</b>	<b>\$ 57,997.13</b>	<b>-\$ 22,500.00</b>	<b>\$ 4,701.74</b>	<b>\$ 40,198.87</b>	<b>10.17%</b>
<b>Total:</b>	<b>\$ 149,215.21</b>	<b>-\$ 24,449.01</b>	<b>\$ 4,812.64</b>	<b>\$ 129,578.84</b>	<b>3.51%</b>

<sup>a</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

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# Comparative Holdings by Investor

The Comparative Holdings by Investor Report displays detailed information of the performance of individual holdings and the return of the entire portfolio. It also provides an easy way to conduct performance analysis on a subaccount level.



## Comparative Holdings by Investor Combined Account Portfolio

Prepared for: Sample Investor

Period: 10/1/16 - 12/31/16

### Sample Investor 1

**Account Name:** Sample Account 1

**Account Number:** XXXXX0112

**Account Type:** Brokerage IRA

**Manager:** Adelante Capital Management LLC

Total Return Strategy

**Managed Account Type:** SMA (Separately Managed Account)

**Program:** Lockwood Financial

ASSET	START DATE 10/1/16			END DATE 12/31/16			% RETURN
	QUANTITY	PRICE (\$)	VALUE (\$)	QUANTITY	PRICE (\$)	VALUE (\$)	
PRIME FUND CAPITALRESERVES CLASS	3,058.29	1.00	3,058.29	2,947.22	1.00	2,947.22	0.00
RUSSELL EMERGINGMARKETS S	645.99	17.99	11,621.36	652.37	19.32	12,603.85	8.45
RUSSELL GBL REAL ESTATESECURITIES S	237.22	38.47	9,125.93	246.80	39.53	9,755.96	6.90
RUSSELL INT'LDEVELOPED MARKETSFUND CLASS S	1,449.90	29.70	43,062.09	1,479.21	31.12	46,032.89	6.90
RUSSELL INVT CO GBL CRSTRG S	554.94	10.45	5,799.16	569.69	10.52	5,993.10	3.34
RUSSELL TAX MAGD USMID SM CAP S	727.91	16.90	12,301.65	730.08	17.31	12,637.72	2.73
RUSSELL TAX MANAGEDLARGE CAP S	4,064.90	22.91	93,126.95	4,091.41	23.07	94,388.92	1.36
	<b>Sample Account 1 Total:</b>		<b>\$178,095.43</b>			<b>\$184,359.66</b>	<b>3.52%</b>
	<b>Sample Investor 1 Total:</b>		<b>\$178,095.43</b>			<b>\$184,359.66</b>	<b>3.52%</b>
	<b>Total:</b>		<b>\$178,095.43</b>			<b>\$184,359.66</b>	<b>3.52%</b>

<sup>^</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Comparative Holdings by Net Worth

This Comparative Holdings by Net Worth Report displays client holdings by assets and liabilities. It also calculates asset-level performance so you can show your clients the overall performance of their assets. Each account is sorted by asset/liability and tax status (non-qualified, qualified or undefined).



## Comparative Holdings by Net Worth Combined Account Portfolio

Prepared for: Sample Investor

Period: 10/1/16 - 12/31/16

### Assets

#### Asset: Non-Qualified

ACCT NUMBER	ASSET	BEGINNING VALUE (\$)	NET CONTRIBUTIONS (\$)	CHANGE IN VALUE (\$)	ENDING VALUE (\$)	% RETURN
XXXX3893	CASH	--	232.62	232.62	--	-- <sup>7</sup>
XXXX3893	SEI INST. MANAGEDLARGE CAP VALUE FUND	12,726.59	-39.57	415.97	13,102.99	3.27
XXXX3893	SEI INSTITUTIONALLY MANAGED CORE FIXED INCOME FUND	21,547.72	-67.07	-130.46	21,350.19	-0.60
XXXX3893	SEI INSTITUTIONALLY MANAGED HIGH-YIELD BOND FUND	1,654.27	-5.22	53.05	1,702.09	3.21
XXXX3893	SEI INSTITUTIONALLY MANAGED SMALL-CAP VALUE FUND	2,406.29	-7.61	117.75	2,516.43	4.90
XXXX3893	SEI INSTL MANAGED TR-LG CAP GR A	13,289.21	-42.70	1,013.85	14,260.36	7.64
XXXX3893	SEI INSTL MANAGED TR-SM CAP GR A	1,622.28	-4.91	29.27	1,646.63	1.81
XXXX3893	SEI INTERNATIONAL EMERGING MARKETS DEBT FUND	4,169.39	-13.34	56.54	4,212.58	1.36
XXXX3893	SEI INTERNATIONAL EMERGING MARKETS FUND	4,673.85	-15.64	508.71	5,166.92	10.89
XXXX3893	SEI INTERNATIONAL EQUITY FUND	7,377.54	-24.74	858.22	8,211.03	11.64
XXXX3893	SEI INTL TR-INTL FIXD INCOME TRUST	3,753.98	-11.68	-37.83	3,704.48	-1.01
XXXX3893	SEI LIQUID ASSET PRIME OBL FUND	0.14	-0.14	0.00	--	0.00 <sup>6</sup>
<b>Non-Qualified Total:</b>		<b>\$73,221.26</b>	<b>\$0.00</b>	<b>\$2,652.46</b>	<b>\$75,873.72</b>	<b>3.62%</b>

#### Asset: Undefined

ACCT NUMBER	ASSET	BEGINNING VALUE (\$)	NET CONTRIBUTIONS (\$)	CHANGE IN VALUE (\$)	ENDING VALUE (\$)	% RETURN
XXXXX1304	LVIP MONEY MARKET -SV CL	91,218.09	-1,949.01	110.90	89,379.97	0.12
<b>Undefined Total:</b>		<b>\$91,218.09</b>	<b>-\$1,949.01</b>	<b>\$110.90</b>	<b>\$89,379.97</b>	<b>0.12%</b>
<b>Portfolio Total:</b>		<b>\$164,439.35</b>	<b>-\$1,949.01</b>	<b>\$2,763.35</b>	<b>\$165,253.69</b>	<b>1.69%</b>

<sup>6</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Comparative Holdings with Detail

The Comparative Holdings with Detail Report highlights purchases and redemptions made at the asset level. The report is divided into segment types, core, balance-only, manual and external, each with its own totals.



## Comparative Holdings with Detail Combined Account Portfolio

Prepared for: Sample Investor

Period: 10/1/16 - 12/31/16

### Sample Investor 1

Account Name: Client Beneficiary Account 1

Account Number: XXXX3893

ASSET	BEGINNING VALUE (\$)	PURCHASES (\$)	REDEMPTIONS (\$)	OTHER ACTIVITY (\$)	CHANGE IN VALUE (\$)	ENDING VALUE (\$)	% RETURN
CASH	--	232.62	0.00	0.00	-232.62	--	..7
SEI INST. MANAGED LARGE CAP VALUE FUND	12,726.59	0.00	-39.57	0.00	415.97	13,102.99	3.27
SEI INSTITUTIONALLY MANAGED CORE FIXED INCOME FUND	21,547.72	0.00	-67.07	0.00	-130.46	21,350.19	-0.60
SEI INSTITUTIONALLY MANAGED HIGH-YIELD BOND FUND	1,654.27	0.00	-5.22	0.00	53.05	1,702.09	3.21
SEI INSTITUTIONALLY MANAGED SMALL-CAP VALUE FUND	2,406.29	0.00	-7.61	0.00	117.75	2,513.43	4.90
SEI INSTL MANAGED TR-LG CAP GR A	13,289.21	0.00	-42.70	0.00	1,013.85	14,260.36	7.64
SEI INSTL MANAGED TR-SM CAP GR A	1,622.28	0.00	-4.91	0.00	29.27	1,646.63	1.81
SEI INTERNATIONAL EMERGING MARKETS DEBT FUND	4,169.39	0.00	-13.34	0.00	56.54	4,212.58	1.36
SEI INTERNATIONAL EMERGING MARKETS FUND	4,673.85	0.00	-15.64	0.00	508.71	5,166.92	10.89
SEI INTERNATIONAL EQUITY FUND	7,377.54	0.00	-24.74	0.00	858.22	8,211.03	11.64
SEI INTL TR-INTL FIXD INCOME TRUST	3,753.98	0.00	-11.68	0.00	-37.83	3,704.48	-1.01
SEI LIQUID ASSET PRIME OBL FUND	0.14	0.00	-0.14	0.00	0.00	--	0.00 <sup>6</sup>
<b>Account Total:</b>	<b>\$73,221.26</b>	<b>\$232.62</b>	<b>-\$232.62</b>	<b>\$0.00</b>	<b>\$2,652.46</b>	<b>\$75,873.72</b>	<b>3.62%</b>

Account Name: Client Beneficiary Account 2

Account Number: XXXX1304

Account Type: Private Annuity

ASSET	BEGINNING VALUE (\$)	PURCHASES (\$)	REDEMPTIONS (\$)	OTHER ACTIVITY (\$)	CHANGE IN VALUE (\$)	ENDING VALUE (\$)	% RETURN
LVIP MONEY MARKET - SV CL	91,218.09	0.00	-1,949.01	0.00	110.90	89,379.97	0.12
<b>Account Total:</b>	<b>\$91,218.09</b>	<b>\$0.00</b>	<b>-\$1,949.01</b>	<b>\$0.00</b>	<b>\$110.90</b>	<b>\$89,379.97</b>	<b>0.12%</b>
<b>Investor Total:</b>	<b>\$164,439.35</b>	<b>\$232.62</b>	<b>-\$2,181.63</b>	<b>\$0.00</b>	<b>\$2,763.35</b>	<b>\$165,253.69</b>	<b>1.69%</b>

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# Contributions and Withdrawals

The Contributions and Withdrawals report shows a breakdown by portfolio and account of the various activity categories. The report offers an option for calendar or annualized reporting periods for the firm and an option for advisors, for portfolio and/or account expand or collapse.



## Contributions/Withdrawals Combined Account Portfolio

Prepared for: Sample Investor

### All Accounts

	SELECTED PERIOD (\$)	YEAR TO DATE (\$)	ONE YEAR (\$)	THREE YEARS (\$)
<b>Beginning Value</b>	126,199	178,940	186,564	170,988
<b>Net Contributions</b>	0	0	0	15,000
Cash In	0	0	0	15,000
Transfers In	0	0	0	0
<b>Net Withdrawals</b>	0	-52,000	-62,000	-62,000
Cash Out	0	-52,000	-52,000	-52,000
Transfers Out	0	0	-10,000	-10,000
<b>Investment Activity</b>	0	-2,150	-5,080	-15,885
Capital Gains Not Reinvested	0	0	-2,500	-7,500
Dividends Not Reinvested	0	-150	-215	-520
Interest Not Reinvested	0	-2,000	-2,350	-7,850
Net Purchase/Sales	0	0	-15	-15
<b>Change in Value</b>	-873	536	5,842	17,223
<b>Ending Value</b>	125,326	125,326	125,326	125,326

# Estimated Cash Flow

The Estimated Cash Flow Report provides a summary account projection of estimated income over the next twelve months.



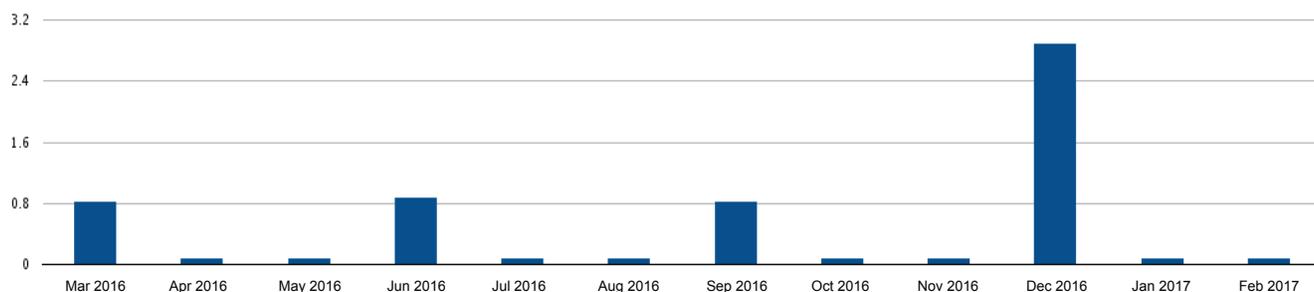
## Estimated Cash Flow

Portfolio Name

Prepared for: Client Firstname Lastname

(thousands \$)

As of: 3/31/16



ACCOUNT	MAR 2016	APR 2016	MAY 2016	JUN 2016	JUL 2016	AUG 2016	SEP 2016	OCT 2016	NOV 2016	DEC 2016	JAN 2017	FEB 2017	TOTAL
ROBERT LAHM & ASSOCIATES	378	19	19	427	19	19	378	19	19	979	19	19	2,314
THE OLIVER WENDELL	430	53	53	441	53	53	430	53	53	441	53	53	2,166
SOCIAL SECURITY ADMINISTRATION	0	0	0	0	0	0	0	0	0	1,454	0	0	1,454
<b>Total</b>	<b>\$808</b>	<b>\$72</b>	<b>\$72</b>	<b>\$868</b>	<b>\$72</b>	<b>\$72</b>	<b>\$808</b>	<b>\$72</b>	<b>\$72</b>	<b>\$2,874</b>	<b>\$72</b>	<b>\$72</b>	<b>\$5,934</b>

Estimated income and cash flows are forward looking estimates based on historical data. Such estimates have inherent limitations, as they are based on historical dividend and/or interest payments that may or may not be paid in the future or may be lower or higher than the estimated amounts shown. Dividend and interest payments are subject to change any time, and may be affected by current and future economic, political and business conditions. Estimated income for certain types of securities could include a return of principal or capital gains, in which case the estimated amounts shown would be overstated. Estimated income and cash flows are provided for illustrative purposes only, and should not be relied upon for financial or tax planning purposes.

<sup>a</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Advisor Firstname Lastname

# Estimated Cash Flow by Security

The Estimated Cash Flow by Security Report displays the monthly projected cash flows for securities in a portfolio for up to one year.



Estimated Cash Flow by Security  
Portfolio Name

Prepared for: Client Firstname Lastname

As of: 3/31/16

## Mutual Fund (\$)

SECURITY	FEB 15	MAR 15	APR 15	MAY 15	JUN 15	JUL 15	AUG 15	SEP 15	OCT 15	NOV 15	DEC 15	JAN 16	TOTAL
AMERICAN CENTURY MID CAP VALUE FUND INVESTOR CLASS		3			3			3			3		14
COHEN & STEERS REALTY SHARES FUND		6			6			6			6		26
DELAWARE VALUE FUND CLASS I		35			35			35			35		35
INVESCO SMALL CAP GROWTH A											1		1
MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS I		12			12			12			12		12
OPPENHEIMER DEVELOPING MARKETS CLASS A											1		1
OPPENHEIMER DEVELOPING MARKETS FUND Y											4		4
OPPENHEIMER INTERNATIONAL GROWTH FUND CLASS Y											31		31
OPPENHEIMER INTL GROWTH CLASS A											18		18
PRUDENTIAL JENNISON MIDCAP GROWTH FUND, INC. CLAS											0		0
T ROWE PRICE INTERNATIONAL DISCOVERY											2		2
<b>Mutual Fund Total:</b>		<b>\$56</b>			<b>\$56</b>			<b>\$56</b>			<b>\$113</b>		<b>\$257</b>

## Cash or Equivalents (\$)

SECURITY	FEB 15	MAR 15	APR 15	MAY 15	JUN 15	JUL 15	AUG 15	SEP 15	OCT 15	NOV 15	DEC 15	JAN 16	TOTAL
FIDELITY ADVISOR PRIME FUND	0	0	0	0	0	0	0	0	0	0	0	0	0
FIDELITY ADVISOR PRIME FUND	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Cash or Equivalents Total:</b>	<b>\$0</b>												

<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Fixed Income by Maturity

The Fixed Income by Maturity Report lists fixed income securities in ascending order of maturity date as follows: 0-3 Months, 3-6 Months, 6-12 Months, 1-4 Years, 4-8 Years, 8-13 Years, 13-20 Years and Over 20 Years. A pie chart showing the percentage allocation in each maturity period detailed is also displayed.

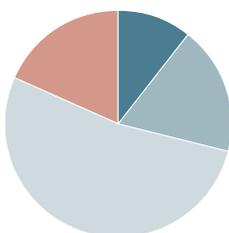


## Fixed Income by Maturity Combined Account Portfolio

Prepared for: Sample Investor

As of: 6/7/08

### Allocation by Maturity



MATURITY	MARKET VALUE (\$)	(%)
6-12 Months	25,959	10.78
1-4 Years	43,627	18.12
4-8 Years	127,432	52.91
8-13 Years	43,810	18.19

### 6 - 12 Months

MATURITY DATE	SECURITY	COUPON RATE (%)	MARKET VALUE (\$)	YIELD TO MATURITY (%)	DURATION TO MATURITY	YIELD TO CALL (%)	DURATION TO CALL (%)	YIELD TO PUT (%)	DURATION TO PUT (%)
2/1/09	MISSOURI ST HWYS TRANSN COMMN ST RD REV SER A 5.250% 02/01/09 B/EDTD 06/20/02	5.25	25,959.00	1.65	0.81				

### 1 - 4 Years

MATURITY DATE	SECURITY	COUPON RATE (%)	MARKET VALUE (\$)	YIELD TO MATURITY (%)	DURATION TO MATURITY	YIELD TO CALL (%)	DURATION TO CALL (%)	YIELD TO PUT (%)	DURATION TO PUT (%)
5/1/11	MISSOURI ST HWYS TRANSN COMMN ST RD REV FIRST LIEN- SER A 5.000% 05/01/11 B/EDTD 07/14/05	5.00	43,627.73	2.63	2.82				

<sup>A</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Fixed Income Snapshot

The Fixed Income Snapshot is a comprehensive one-page report that provides a snapshot of a client's fixed income holdings within a portfolio. The top left-hand quadrant will display Asset Allocation of fixed income holdings and the lower left-hand quadrant will include the client's Top 10 fixed income holdings in the portfolio. The top right-hand quadrant will display a bar graph representing the maturity distribution of the fixed income holdings, with redemption value as the Y-axis and years as the X-axis. Finally, the lower right portion will display a bar graph of projected monthly income, with value as the Y-axis and months as the X-axis.

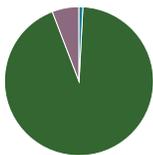


## Fixed Income Snapshot Weatherston Household Portfolio

Prepared for: Sample Investor

As of: 9/30/16

### Asset Allocation



FIXED INCOME TYPE	VALUE (\$)	(%)
Corporate Bonds	4,435,706	93.00
Municipal Bonds	278,320	5.80
Zero Coupon Bonds	53,004	1.10
<b>Total</b>	<b>\$4,767,031</b>	<b>100%</b>

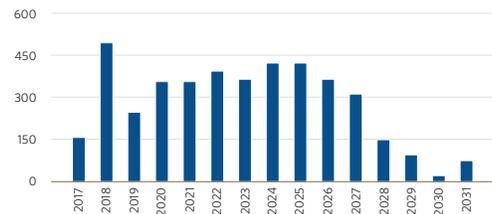
### Summary Information

Weighted Average Coupon	4.42%
Weighted Average Yield to Maturity	2.96%
Weighted Average Maturity	5.90 yrs
<b>Total Projected Annual Income</b>	<b>\$193,477</b>

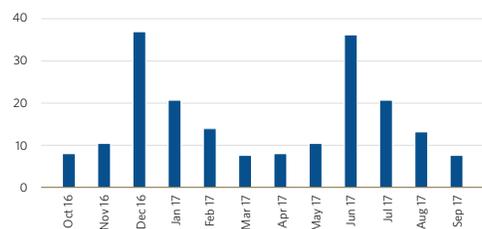
### Top 10 Fixed Income Holdings

ASSET	VALUE (\$)	% OF FIXED INCOME
CUYAHOGA OH 5.125 021515	102,092.01	15.00
AKRON BATH OH 4.15 111517	101,186.26	14.90
CLEVELAND OH 5.0 120120	74,836.04	11.00
OH ST COMMN 5.125 091522	69,821.83	10.30
CANAL OH 4.0 120115	59,608.41	8.80
OH ST CMP SERA 5.0 040113	56,168.67	8.30
WA ST SER D 5.0 010119	55,522.38	8.20
IN ST REV 4.0 070113	55,399.43	8.20
YSLETA TX SCH 5.0 081518	55,321.11	8.10
LAKLND FL W&E 6.05 100111	49,007.85	7.20

### Maturity Distribution (redemption, thousands \$)



### Projected Monthly Income (thousands \$)



<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Gain/Loss by Investor

The Gain/Loss by Investor Report provides unrealized and realized gain/loss reporting in a selected portfolio.



## Gain/Loss by Investor Combined Account Portfolio

Prepared for: Sample Investor

As of: 6/7/12

### Core Accounts

#### Sample Investor 1

Account Name: Sample Account 1

Account Number: XXXXX600N

ASSET	INITIAL DATE	UNITS	TOTAL COST(\$)	CURRENT VALUE(\$)	REALIZED YTD	GAIN/LOSS (\$) UNREALIZED	TOTAL
ACCENTURE PLC IRELAND SHS CLASS A	10/23/12	1.27	86.27	105.27	1.47	19.00	20.47
ACE LTD SHS	5/31/11	1.35	98.93	133.84	0.44	34.91	35.35
ACTAVIS PLC SHS	7/14/10	0.66	28.72	137.54	16.11	108.82	124.93
ADVANCE AUTO PARTS INC COM	1/10/14	0.26	30.44	32.74	0.04	2.30	2.34
ADVENT SOFTWARE INC COM	8/2/11	2.50	78.61	75.90	0.23	-2.71	-2.48
AFLAC INC COM	8/16/13	2.06	125.02	129.61	0.21	4.58	4.79
ALASKA AIR GROUP INC COM	7/10/12	1.24	114.77	112.17		-2.60	-2.60
ALBEMARLE CORP COM	8/2/11	1.77	97.19	114.30	22.24	17.11	39.35
ALEXANDER & BALDWIN HLDGS IN COM		0.00		-70.75			
ALEXANDER & BALDWIN INC NEW COM	7/2/12	1.39	37.27	61.65	0.29	24.37	24.66
ALLIANT TECHSYSTEMS INC COM	4/16/13	1.05	78.34	142.79	1.52	64.44	65.96
ALTRIA GROUP INC COM	1/21/14	3.01	111.65	109.70		-1.95	-1.95
AMERICAN AIRLIS GROUP INC COM	1/24/14	0.67	20.30	24.26		3.96	3.96
AMERICAN EXPRESS CO COM	11/17/09	4.73	279.17	432.74	15.71	153.57	169.28
AMGEN INC COM	11/17/09	0.53	44.63	65.56	1.41	20.92	22.33
ANSYS INC COM	11/17/09	1.53	113.93	112.54		-1.39	-1.39
AOL INC COM		0.00	0.00	0.00	11.95		11.95
APPLE INC COM	11/17/09	0.31	160.89	163.46	18.03	2.58	20.61
AT&T INC COM	11/17/09	7.16	227.51	245.70	0.61	18.18	18.79
<b>Account Total:</b>				<b>\$2,129.02</b>	<b>\$90.26</b>	<b>\$466.09</b>	<b>\$556.35</b>

<sup>a</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Holdings by Classification

The Holdings by Classification Report breaks out holdings by asset type. It includes asset type, investment objective, asset name, ticker symbol, management name, quantity, price, value, allocation percentages and portfolio total.



## Holdings by Classification Portfolio Name

Prepared for: Client Firstname Lastname

As of: 3/31/16

**Asset Type:** Cash

**Investment Objective:** Cash

ASSET (% OF HOLDING)	TICKER	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)	ALLOCATION (%)
LVIP MONEY MARKET - SV		CL LINCOLN FINANCIAL GROUP	8,666.09	10.31	89,379.97	69.00
<b>Cash Total:</b>					<b>\$89,379.97</b>	<b>69.00%</b>

**Asset Type:** US Stocks

**Investment Objective:** Large Cap - Blend

ASSET (% OF HOLDING)	TICKER	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)	ALLOCATION (%)
CASH			14.79	1.00	14.79	0.01
INTEGRATED DATA CORP COM	ITDDE		1.00	0.35	0.35	0.00
ON2 TECHNOLOGIES INC COM	ONT		230.00	0.94	216.20	0.20
<b>Large Cap - Blend Total:</b>					<b>\$231.34</b>	<b>0.20%</b>

**Investment Objective:** Small Cap - Growth

ASSET (% OF HOLDING)	TICKER	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)	ALLOCATION (%)
DIVIDEND CAPITAL		DIVIDEND CAPITAL TRUST	1,124.96	10.00	11,249.55	8.70
JENNISON NATURAL RESOURCES FD CL C	PNRCX	PRUDENTIAL FUNDS	496.59	57.83	28,717.97	22.20
<b>Small Cap - Growth Total:</b>					<b>\$39,967.53</b>	<b>30.80%</b>
<b>US Stocks Total:</b>					<b>\$40,198.87</b>	<b>31.00%</b>
<b>Total:</b>					<b>\$129,578.84</b>	<b>100%</b>

<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Advisor Firstname Lastname

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# Holdings by Investor

The Holdings by Investor Report displays individual investor account information including account name, account number and holdings information such as asset name, ticker symbol, asset type, management name, quantity, price and total value.



## Holdings by Investor Portfolio Name

Prepared for: Client Firstname Lastname

Client Firstname Lastname

As of: 3/31/16

**Account Name:** SOCIAL SECURITY ADMINISTRATION

**Account Number:** 03111338 000

**Account Type:** Gftc Custodial IRA

**Manager:** EE ASSET MANAGEMENT

AA SMALL CAP CORE CONCENTRAT1

**Managed Account Type:** 101ST DISTRICT COURT

**Program:** A SMALL-MID CAP1

ASSET	TICKER	INVESTMENT OBJECTIVE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
BLACKROCK LIQUIDITY T-FUND CMS		CASH OR EQUIVALENTS	GOLDMAN SACHS FUNDS	1,807.33	1.00	1,807.33
GUIDEPATH ABS RET ASST ALLOC SVC	GPAX	MUTUAL FUND	GPS IV FUNDS	7,243.09	9.96	72,141.15
<b>SOCIAL SECURITY ADMINISTRATION Total</b>						<b>\$73,948.48</b>

**Account Name:** VICE PRES BUSINESS

**Account Number:** 01101995449

**Account Type:** IRA, Annuity

**Manager:** EE ASSET MANAGEMENT

AA SMALL CAP CORE CONCENTRAT1

**Managed Account Type:** ABBOTT BLDG

**Program:** A SMALL-MID CAP1

ASSET	TICKER	INVESTMENT OBJECTIVE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
BLACKROCK MONEY MARKET PORT		VARIABLE ANNUITY	METLIFE USA	3,625.21	9.60	34,802.20
METLIFE DEFENSIVE STRATEGY PORT		VARIABLE ANNUITY	METLIFE USA	1,318.73	13.83	18,237.12
METLIFE MODERATE STRATEGY PORT		VARIABLE ANNUITY	METLIFE USA	6,097.92	14.48	88,308.56
<b>VICE PRES BUSINESS Total</b>						<b>\$141,347.87</b>
<b>Client Firstname Lastname Total</b>						<b>\$215,296.35</b>

^This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Holdings by Investor Detail

The Holdings by Investor Detail Report will provide a list of positions grouped by the selected allocation level along with optional Gain/Loss, Yield, and Income data columns.



## Holdings by Investor Details

Prepared for: John & Mary Investor

As of: 6/30/15

### John & Mary Investor

Account Name: Div. Strategy

Account Number: 12345678

Account Type: Joint

Manager: Recommended Mgr - Schafer Cullen Capital Mgmt-Large Cap Value

### Cash & Cash Alternatives

ASSET	TICKER	% OF ACCOUNT	QUANTITY	PRICE (\$)	VALUE (\$)	COST (\$)	UNREALIZED GAIN/LOSS (\$)	CURRENT YIELD (%)	ESTIMATED ANNUAL INCOME (\$)
BROKERAGE MONEY MARKET		0.31	7,318.06	1.00	7,318.06				
<b>Cash &amp; Cash Alternatives Total:</b>		<b>0.31%</b>			<b>\$7,318.06</b>				

### Equities

ASSET	TICKER	% OF ACCOUNT	QUANTITY	PRICE (\$)	VALUE (\$)	COST (\$)	UNREALIZED GAIN/LOSS (\$)	CURRENT YIELD (%)	ESTIMATED ANNUAL INCOME (\$)
ABBOTT LABORATORIES	ABT	1.29	600.00	50.94	30,564.00	16,029.01	14,534.99	1.88	576.00
AIR PRODUCTS & CHEMICALS INC	APD	1.12	200.00	132.82	26,564.00	14,344.14	12,219.86	2.44	648.00
ALLISON TRANSMISSION HLDGS INC	ALSN	0.62	500.00	29.36	14,680.00	13,583.70	1,096.30	2.04	300.00
APIGEE CORP	APIC	0.36	1,000.00	8.54	8,540.00	10,722.30	-2,182.30	2.43	125.43
APPLE INC	AAPL	1.46	280.00	123.38	34,546.40	20,996.80	13,549.60	1.69	582.40
ARMSTRONG WORLD INDUSTRIES INC NEW	AWI	0.92	400.00	54.76	21,904.00	21,606.92	297.08	2.44	306.00
AUTOMATIC DATA PROCESSING INC	ADP	0.68	200.00	80.58	16,116.00	6,286.78	9,829.22	2.43	392.00
AVIS BUDGET GROUP INC	CAR	0.61	350.00	41.35	14,472.50	18,553.99	-4,081.49	1.20	125.43
AVNET INC	AVT	0.86	500.00	40.72	20,360.00	20,943.05	-583.05	1.57	320.00
BAIRD MID CAP INSTL CL	BMDIX	0.21	308.18	16.46	5,078.81	2,800.50	2,278.31	2.03	576.00
BLOOMIN BRANDS INC	BLMN	0.93	1,000.00	22.03	22,030.00	22,893.80	-863.80	3.97	57.68
CALL BAXTER INTL INC \$39 EXP 08/21/15		0.07	1,000.00	1.61	1,610.00	1,297.54	312.46	2.03	184.00
CERUS CORP	CERS	0.91	4,000.00	5.40	21,600.00	17,599.60	4,000.40	3.97	784.00
CONOCOPHILLIPS	COP	0.88	400.00	52.24	20,896.00	20,389.26	506.74	5.67	734.97
COPA HOLDINGS S A CLASS A	CPA	0.79	250.00	74.72	18,680.00	25,592.43	-6,912.43	4.50	840.00

^ This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Holdings by Net Worth

The Holdings by Net Worth Report displays holdings organized by assets and liabilities. It provides the client with a net worth statement and classifies your client's qualified and non-qualified assets.



## Holdings by Net Worth Combined Account Portfolio

Prepared for: Sample Investor

Period: 10/1/16 - 12/31/16

### Assets

#### Asset: Non-Qualified

ACCOUNT NAME	ACCOUNT NUMBER	ACCOUNT TYPE	VALUE (\$)
Marisa's Roth	XXXXXXXX0591	Trust, QualifiedPlan	28,717.97
	XXXXXXXX2963	Trusts	11,249.55
	XXXX3893	Trusts	75,873.72
	XXXXX8566	Trusts	231.34
<b>Non-Qualified Total:</b>			<b>\$116,072.59</b>

#### Asset: Qualified

ACCOUNT NAME	ACCOUNT NUMBER	ACCOUNT TYPE	VALUE (\$)
Brokerage Account	XXXXX0112		107,203.02
<b>Qualified Total:</b>			<b>\$107,203.02</b>

#### Asset: Undefined

ACCOUNT NAME	ACCOUNT NUMBER	ACCOUNT TYPE	VALUE (\$)
	XXXXX1304	Private Annuity	89,379.97
<b>Qualified Total:</b>			<b>89,379.97</b>
<b>Portfolio Assets Total:</b>			<b>\$312,655.58</b>

<sup>A</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Holdings by Portfolio

The Holdings by Portfolio Report displays the aggregate of the holdings across all accounts in the portfolio.



## Holdings by Portfolio Combined Account Portfolio

Prepared for: Sample Investor

As of: 2/28/13

ASSET	TICKER	ASSET TYPE	MANAGEMENT NAME	QUANTITY	PRICE (\$)	VALUE (\$)
SEI INST. MANAGED LARGE CAP VALUE FUND	TRMVX	US STOCKS	SEI ASSET MANAGEMENT PROGRAMS	688.91	19.02	13,102.99
SEI INSTITUTIONALLY MANAGED CORE FIXED INCOME FUND	TRLVX	BONDS	SEI ASSET MANAGEMENT PROGRAMS	2,120.18	10.07	21,350.19
SEI INSTITUTIONALLY MANAGED HIGH-YIELD BOND FUND	SHYAX	BONDS	SEI ASSET MANAGEMENT PROGRAMS	223.37	7.62	1,702.09
SEI INSTITUTIONALLY MANAGED SMALL-CAP VALUE FUND	SESVX	US STOCKS	SEI ASSET MANAGEMENT PROGRAMS	150.78	16.69	2,516.43
SEI INSTL MANAGED TR-LG CAP GR A	SELCX	US STOCKS	SEI ASSET MANAGEMENT PROGRAMS	632.95	22.53	14,260.36
SEI INSTL MANAGED TR-SM CAP GR A	SSCGX	US STOCKS	SEI ASSET MANAGEMENT PROGRAMS	97.78	16.84	1,646.63
SEI INTERNATIONAL EMERGING MARKETS DEBT FUND	SITEX	BONDS	SEI ASSET MANAGEMENT PROGRAMS	404.67	10.41	4,212.58
SEI INTERNATIONAL EMERGING MARKETS FUND	SIEMX	NON-US STOCKS	SEI ASSET MANAGEMENT PROGRAMS	03.76	17.01	5,166.92
SEI INTERNATIONAL EQUITY FUND	SEITX	NON-US STOCKS	SEI ASSET MANAGEMENT PROGRAMS	634.06	12.95	8,211.03
SEI INTL TR-INTL FIXD INCOME TRUST	SEFIX	BONDS	SEI ASSET MANAGEMENT PROGRAMS	342.06	10.83	3,704.48
LVIP MONEY MARKET-SV CL		CASH	LINCOLN FINANCIAL GROUP	8,666.09	10.31	89,379.97
<b>Portfolio Total:</b>						<b>\$165,253.69</b>

<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Income and Expense

The Income and Expense Report lists a selected portfolio's transactions subtotaled by type and grouped into income and expenses. It also lists the account's net income for a selected time period. You can customize this report by turning off the display of transaction types.



## Income and Expenses Combined Account Portfolio

Prepared for: Sample Investor

As of: 2/28/13

### EXPENSES

#### Advisory Fee

DATE	ASSET	VALUE (\$)
12/13/02	INVESCO CONSTELLATION B	10.00
<b>Advisory Fee Total:</b>		<b>\$10.00</b>

#### Fee Adjustment Details

DATE	ASSET	VALUE (\$)
8/18/05	MFS GROWTH FUND B	15.00
8/05/04	MFS GROWTH FUND B	15.00
8/11/03	MFS GROWTH FUND B	15.00
<b>Fee Adjustment Details Total:</b>		<b>\$45.00</b>

#### Transaction Fee

DATE	ASSET	VALUE (\$)
3/3/11	CASH	75.00
8/17/09	INVESCO GLOBAL HEALTH CARE B	202.47
8/17/09	INVESCO GLOBAL HEALTH CARE B	10.00
11/4/08	MFS GLOBAL EQUITY FUND A	25.00
10/21/08	IVY FUND-ASSET STRATEGY C	15.00
5/12/08	INVESCO SMALL CAP EQUITY B	10.00
7/12/07	INVESCO BASIC VALUE FUND - CLASS A	10.00
<b>Transaction Fee Total:</b>		<b>\$347.47</b>
<b>Expenses Total:</b>		<b>\$402.47</b>

<sup>a</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Major Indices Performance

The Major Indices Performance Report shows major benchmarks and their performance over a selected time period, along with year-to-date, one year, three years, five years and since start date. This report may require you to provide Albridge with third-party information.



## Major Indices Performance Report Combined Account Portfolio

Prepared for: Sample Investor

Period: 12/22/16 - 3/21/17

BENCHMARK	SELECTED PERIOD (%)	YEAR TO DATE (%)	ONE YEAR (%)	THREE YEARS (%)	FIVE YEARS (%)	SINCE START DATE (%) 3/22/09
BLOOMBERG BARCLAYS U.S. MUNICIPAL	2.98	2.97	0.17	5.42	5.70	4.49
CONSUMER PRICE INDEX	0.73	0.74	1.13	1.99	2.04	2.38
BLOOMBERG BARCLAYS U.S. AGGREGATE BOND	1.29	1.60	-0.13	3.54	4.82	4.61
DOW JONES INDUSTRIAL AVERAGE	0.50	-1.65	13.04	10.63	17.49	4.47
NASDAQ COMPOSITE	4.19	2.40	32.71	16.67	24.01	7.66
RUSSELL 1000 3.54 1.77 23.98 15.50 22.59 7.80	3.61	1.86	24.31	15.47	22.86	7.90
DOW JONES U.S. SELECT REIT	9.01	8.92	5.02	10.53	30.45	8.85
RUSSELL 3000	3.61	1.86	24.31	15.47	22.86	7.90
S&P 500	3.19	1.45	23.32	15.33	21.98	7.41
CITIGROUP 3-MONTH CD	0.05	0.04	0.20	0.26	0.33	2.02

<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Model Comparison

The Model Comparison Report enables you to develop an unlimited number of allocation models for your clients' needs. You can use this report to track portfolios against these models. It will display comparative charts and provide you with suggested allocations for rebalancing each portfolio.

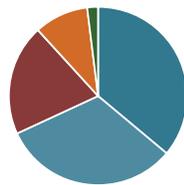


Model Comparison  
Portfolio Name

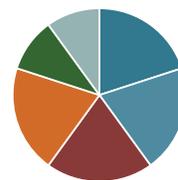
Prepared for: Client Firstname Lastname

As of: 3/31/16

## Asset Type Allocation



## Balanced Portfolio Allocation



ASSET TYPE	CURRENT (\$)	MODEL (\$)	VARIANCE (\$)	CURRENT (%)	MODEL (%)	VARIANCE (%)
LARGE CAP EQUITY	345,305	191,383	-153,921	36.10	20.00	-16.10
SMALL CAP EQUITY	306,284	191,383	-114,901	32.00	20.00	-12.00
INTERNATIONAL EQUITY	192,649	191,383	-1,266	20.10	20.00	-0.10
FIXED INCOME	93,936	191,383	97,446	9.80	20.00	10.20
CASH INVESTMENTS	18,739	95,691	76,952	2.00	10.00	8.00
OTHER	0	95,691	95,691	0.00	10.00	10.00
<b>Total:</b>	<b>\$956,915</b>	<b>\$956,915</b>		<b>100%</b>	<b>100%</b>	

■ Current Allocation  
■ Model Allocation

<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Advisor Firstname Lastname

# Multi-Period Performance

The Multi-Period Performance Report shows the performance return for all accounts in a portfolio for a selected period, along with since start date, year-to-date, quarter-to-date, month-to-date, last quarter and last month.



## Multi-Period Performance Combined Account Portfolio

Prepared for: Sample Investor

As of: 2/1/17

### Sample Investor 1

**Account Name:** Sample Account 1<sup>M</sup>

**Account Number:** XXXX3893

**Account Type:** Individual

SELECTED PERIOD (%)	MONTH TO DATE (%)	LAST MONTH (%)	QUARTER TO DATE (%)	LAST QUARTER (%)	YEAR TO DATE (%)	SINCE START DATE (%)	START DATE
0.00	0.00	0.00	0.00	0.00	0.0	0.01	10/31/11

**Account Name:** Sample Account 2

**Account Number:** XXXXX1304

**Account Type:** Retirement Account IRA

SELECTED PERIOD (%)	MONTH TO DATE (%)	LAST MONTH (%)	QUARTER TO DATE (%)	LAST QUARTER (%)	YEAR TO DATE (%)	SINCE START DATE (%)	START DATE
1.98	-0.37	2.52	1.03	3.96	1.03	10.60	10/20/11

**Account Name:** Putnam IRA

**Account Number:** XXXXX1304

**Account Type:** Putnam IRA

SELECTED PERIOD (%)	MONTH TO DATE (%)	LAST MONTH (%)	QUARTER TO DATE (%)	LAST QUARTER (%)	YEAR TO DATE (%)	SINCE START DATE (%)	START DATE
3.07	-0.12	4.24	1.47	8.51	1.47	17.81	10/31/11
<b>Sample Investor 1 Total: 1.99</b>	<b>-0.30</b>	<b>2.58</b>	<b>1.01</b>	<b>4.30</b>	<b>1.01</b>	<b>10.44</b>	

### Sample Investor 2

**Account Name:** American Funds IRA

**Account Number:** XXXX3103

**Account Type:** CB&T IRA

SELECTED PERIOD (%)	MONTH TO DATE (%)	LAST MONTH (%)	QUARTER TO DATE (%)	LAST QUARTER (%)	YEAR TO DATE (%)	SINCE START DATE (%)	START DATE
3.07	-1.62	5.29	1.47	9.25	1.47	25.21	12/20/11

<sup>M</sup> This account represents a Manual Account.

<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Multi-Period Portfolio Detail

The Multi-Period Portfolio Detail Report provides a high-level overview of a portfolio's performance from a selected period, year-to-date, one year, three year, five year and since start date. Key information on net contribution and change in value is broken out into separate line item amounts to provide greater detail. This report also features an asset allocation chart depicting portfolio holdings by asset type as of the beginning of the reporting period and the end of the reporting period.

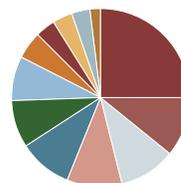
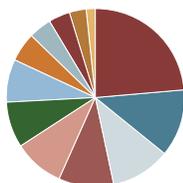


## Multi Period Portfolio Detail Combined Account Portfolio

Prepared for: Sample Investor

Period: 3/8/07 - 6/7/07

### Asset Allocation-Asset Type



ASSET TYPE	STARTING VALUE (\$)	(%)
LARGE BLEND	51,160.39	23.80
DIVERSIFIED EMERGING MKTS	26,478.73	12.30
FOREIGN LARGE BLEND	22,736.56	10.60
LARGE GROWTH	21,802.63	10.10
INTERMEDIATE-TERM BOND	20,095.38	9.30
NONTRADITIONAL BOND	17,758.37	8.20
WORLD STOCK	16,991.45	7.90
MARKET NEUTRAL	11,173.82	5.20
EMERGING MARKETS BOND	8,901.92	4.10
MULTI SECTOR BOND	8,539.36	4.00
MID-CAP GROWTH	6,188.90	2.90
SECTOR TECH/COMMUNICATIONS	3,472.32	1.60
<b>Total:</b>	<b>\$215,299.83</b>	<b>100%</b>

ASSET TYPE	ENDING VALUE (\$)	(%)
LARGE BLEND	48,143.93	25.20
LARGE GROWTH	20,289.56	10.60
FOREIGN LARGE BLEND	19,785.12	10.40
INTERMEDIATE-TERM BOND	19,162.46	10.00
DIVERSIFIED EMERGING MKTS	18,493.25	9.70
NONTRADITIONAL BOND	16,452.37	8.60
WORLD STOCK	15,378.66	8.00
MARKET NEUTRAL	9,459.98	5.00
MULTISECTOR BOND	7,069.75	3.70
SECTOR TECH/COMMUNICATIONS	6,944.64	3.60
EMERGING MARKETS BOND	6,366.87	3.30
MID-CAP GROWTH	3,520.35	1.80
<b>Total:</b>	<b>\$191,066.94</b>	<b>100%</b>

<sup>^</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Portfolio Monitor

The Portfolio Monitor Report is a comprehensive one-page report that provides a snapshot of a client's portfolio. The right-hand side includes the client's Top 10 Holdings by exposure, as well as portfolio returns for the selected time period—quarter-to-date, year-to-date, last year and since start date. The left-hand side shows a bar graph of past performance, as well as a line chart that tracks performance of a portfolio against a benchmark. The report allows the advisor to set the display preference to Quarterly or Yearly for the bar graph and line chart, which can be configured under Administration > Reports Setting Tab.

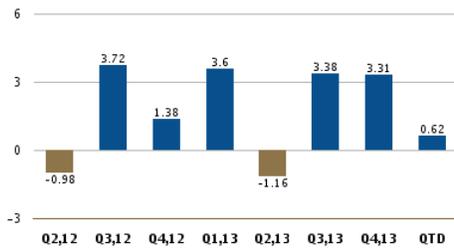


**Portfolio Monitor**  
Portfolio Name

Prepared for: Client Firstname Lastname

## Quarterly Return (%)

Period: 4/1/12-3/31/16



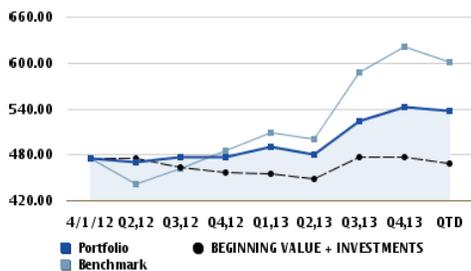
## Top 10 Holdings

As of: 3/31/16

ASSET	TICKER	VALUE(\$)	% PORTFOLIO
BANK DEPOSIT SWEEP PROGRAM	QPRMQ	97,644	18.17
METLIFE MODERATE STRATEGY PORT		88,309	16.43
GUIDEPATH ABS RET ASST ALLOC SVC	GPARX	72,141	13.42
BLACKROCK MONEY MARKET PORT		34,802	6.48
AMERICAN FUNDS SMALLCAP WORLD A	SMCWX	24,292	4.52
AMERICAN FUNDS AMCAP A	AMCPX	20,555	3.82
AMERICAN FUNDS NEW ECONOMY A	ANEFX	18,552	3.45
AMERICAN FUNDS AMER MUTUAL FD A	AMRMX	18,506	3.44
METLIFE DEFENSIVE STRATEGY PORT		18,237	3.39
AMERICAN FUNDS INV CO OF AMER A	AIVSX	16,184	3.01
<b>Top Ten Total</b>		<b>\$409,222</b>	<b>76.14%</b>

## Portfolio Value (thousands \$)

Period: 4/1/14-3/31/16



## Portfolio Return (value in \$)

Period: 1/1/16-3/31/16

	SELECTED PERIOD	QUARTER TO DATE	YEAR TO DATE	LAST YEAR	SINCE INCEPTION
Beginning Value	542,140	542,140	542,140	476,707	0
Net Contribution	-8,132	-8,132	-8,132	19,856	310,732
Change In Value	3,419	3,419	3,419	45,578	226,695
Ending Value	537,427	537,427	537,427	542,140	537,427
Return	0.62%	0.62%	0.62%	9.36%	6.77%
Benchmark: BR_Man_model1 Benchmark <sup>61</sup>	-1.95%	-1.95%	-1.95%	23.29%	7.01%

<sup>61</sup> BR\_Man\_model1 is comprised of 70% MSCI Developed EAFE Free (TRG).

^This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Advisor Firstname Lastname

# Portfolio Performance

The Portfolio Performance Report provides a high-level overview of a portfolio's performance for a selected period, year-to-date, one year, three year, five year and since start date. Key net contributions and change in value information is broken out into separate amounts to show greater detail. You can collapse or expand the top portion of this report for a consolidated view. The report features a table that can contain up to five major indices for comparison against your client's portfolio and can assign a benchmark to your client's portfolio to view specific performance measures.



**Portfolio Performance**  
Portfolio Name

Prepared for: Client Firstname Lastname

Period: 1/1/16 - 3/31/16

	1/1/16 - 3/31/16 (\$)	YEAR TO DATE (\$)	ONE YEAR (\$)	THREE YEARS (\$)	FIVE YEARS (\$)	SINCE INCEPTION (\$) 10/14/09
<b>Beginning Value</b>	542,140	542,140	491,033	136,085	0	0
Capital Gains	0	0	-6,136	-6,839	-6,839	-6,839
Dividends Not Reinvested	-116	-116	3,433	3,468	3,468	3,468
Net Cash In/Out	-8,015	-8,015	18,347	199,256	319,167	319,167
Net Transfers In/Out	0	0	0	115,989	115,989	115,989
Withholding	0	0	-1,143	-1,143	-1,143	-1,143
<b>Net Contribution</b>	-8,132	-8,132	14,502	310,732	430,643	430,643
Capital Gains Reinvested	0	0	348	466	466	466
Dividends Reinvested	492	492	8,457	16,142	16,142	16,142
Fees	-348	-348	-2,646	-6,357	-7,364	-7,364
Interest Reinvested	2	2	9	15	15	15
Market Gain/Loss	3,272	3,272	25,724	80,345	97,526	97,526
<b>Change In Value</b>	3,419	3,419	31,892	90,610	106,784	106,784
<b>Ending Value</b>	537,427	537,427	537,427	537,427	537,427	537,427
<b>Portfolio Return</b>	<b>0.62%</b>	<b>0.62%</b>	<b>6.22%</b>	<b>5.69%</b>	--	<b>6.77%</b>
BR_Man_model1 Benchmark <sup>61</sup>	-1.95%	-1.95%	14.88%	6.73%	--	7.01%

<sup>61</sup> BR\_Man\_model1 is comprised of 70% MSCI Developed EAFE Free (TRG).

## Common Market Indices

Period: 1/1/16 - 3/31/16

BENCHMARKS	1/1/16 - 3/31/16 (%)	YEAR TO DATE (%)	ONE YEAR (%)	THREE YEARS (%)	FIVE YEARS (%)	SINCE INCEPTION (%) 10/14/09
Barclays U.S. Corporate High Yield	2.65	2.65	7.19	8.87	--	11.49
Barclays U.S. Credit	2.45	2.45	0.56	5.64	--	6.18
MSCI Developed EAFE Free (TRG)	-1.95	-1.95	14.88	6.73	--	7.01
S&P 500	1.45	1.45	21.43	14.51	--	15.15
S&P MidCap 400	3.09	3.09	21.31	13.37	--	17.64

<sup>61</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Advisor Firstname Lastname

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# Portfolio Risk and Return

The Portfolio Risk and Return Report is a roll-up of all accounts in a portfolio and displays risk measures for the overall portfolio. It includes an analysis of rates of return and the elected risk measures for these time periods: three-year, five-year and 10-year and since start date. A graph on the bottom of the report shows a comparison of risk versus return. This report can be run at the portfolio, account or manager level.

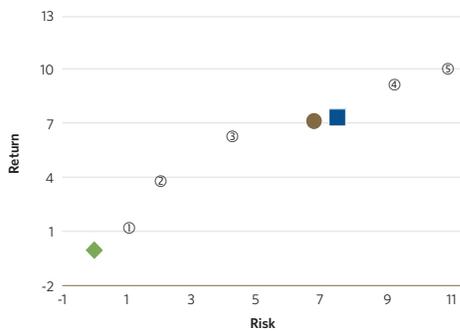


**Portfolio Risk and Return**  
Portfolio Name

Prepared for: Client Firstname Lastname

Period: 1/1/16 - 3/31/16

## Return vs. Risk (%)



	RISK (%)	RETURN (%)
■ Pauls Portfolio	7.37	7.64
● Moderate Conservative <sup>61</sup>	6.86	7.55
◆ Citigroup 3 Month T-Bill	0.02	0.06
① Short Term <sup>61</sup>	1.09	1.15
② Conservative <sup>61</sup>	2.53	3.83
③ Moderately Conservative <sup>61</sup>	4.63	5.91
④ Moderately Aggressive <sup>61</sup>	9.18	9.00
⑤ Aggressive <sup>61</sup>	11.01	9.92

<sup>61</sup> This benchmark is a Composite Benchmark. Please see below for more details.

Balanced Benchmark is comprised of 8% Russell 2000 Value, 8% Russell 2000 Growth, 5% MSCI Emerging Markets (TRN), 3% Citigroup 3 month T-Bill, 17% Barclays U.S. Aggregate Bond, 16% S&P 500, 15% MSCI ACWI Ex-USA (TRN), 14% Russell 1000 Growth

## Risk Analysis

	SELECTED PERIOD	THREE YEARS	FIVE YEARS	TEN YEARS	SINCE START DATE (%) 8/10/01
Rate Of Return (%)	15.89	19.35	15.89	..6	13.10
<b>Risk Free Rate</b>					
Citigroup 3-Month US Treasury Bill	2.62	3.57	2.62	..6	3.38
<b>Example Portfolio Risk</b>					
Mean (%)	11.83	14.53	11.83	..6	6.40
Standard Deviation (%)	10.27	10.00	10.27	..6	8.75
Sharpe Ratio	0.90	1.11	0.90	..6	0.35

<sup>^</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Portfolio Snapshot

The Portfolio Snapshot Report is a comprehensive report, featuring a combination of the most frequently used reports. You can generate the report for a number of specific time periods. It includes a portfolio summary, performance by account, portfolio value and benchmark information. There are asset allocation graphs showing portfolio holdings sorted by investment objective and asset type. Since it provides a one-page snapshot, the number of accounts displayed is limited. The report displays a maximum of 10 accounts.



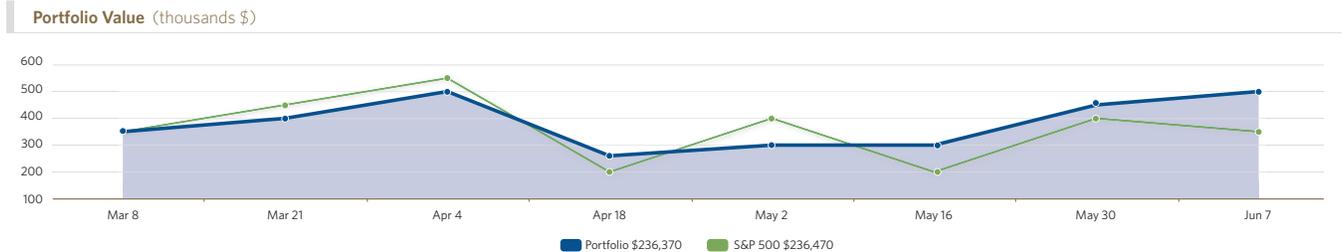
Portfolio Snapshot  
Portfolio Name

Prepared for: Client Firstname Lastname

Period: 1/1/16 - 3/31/16

Summary		Portfolio Performance				
As of: 3/31/16						
<b>PORTFOLIO</b>	<b>\$510,789</b>	<b>SELECTED PERIOD (\$)</b>	<b>QUARTER TO DATE (\$)</b>	<b>YEAR TO DATE (\$)</b>	<b>LAST YEAR (\$)</b>	<b>SINCE START DATE (%)</b>
		Beginning Value	326,721	477,739	381,586	381,586
<b>GAIN/LOSS</b>		Net Contributions	50,000	-84,933	100,129	100,129
Realized	\$1,500	Change in Value	134,068	42,242	29,072	8,717
Unrealized	-\$2,187	Ending Value	510,789	435,048	510,789	490,433
		<b>Return</b>	<b>4.20%</b>	<b>-1.32%</b>	<b>3.53%</b>	<b>3.82%</b>
						<b>5.44%</b>

Account Performance								
ACCOUNT	START DATE	VALUE (\$)	% OF TOTAL	SELECTED PERIOD (%)	QUARTER TO DATE (%)	YEAR TO DATE (%)	LAST YEAR (%)	SINCE START DATE (%)
Isabel Brokerage Account	8/10/01	210,400	41.19	1.98	-4.93	-3.46	7.06 <sup>14</sup>	12.56 <sup>18</sup>
Isabel Brokerage IRA	5/6/06	90,000	17.62	5.00	5.12	5.00	-- <sup>14</sup>	5.00
Isabel Socorro Trust	2/3/08	83,590	16.36	0.12	-4.02	-4.02	-4.08 <sup>14</sup>	-7.95 <sup>18</sup>
Client Beneficiary Account 50270	5/6/06	36,700	7.18	2.28	1.89	2.28	4.60 <sup>14</sup>	6.98
John's Brokerage	2/3/08	50,099	9.81	3.62	-6.61	-2.99	6.97 <sup>14</sup>	6.12
John's Retirement	2/3/08	40,000	7.83	2.14	2.71	2.25	3.40 <sup>14,6</sup>	3.48



<sup>14</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Portfolio Snapshot Summary

The Portfolio Snapshot Summary is a comprehensive one-page report featuring a combination of the most frequently used reports. You can generate the report for a number of specific time periods and it will include a portfolio summary, performance by account, and portfolio value and benchmark information. There is an asset allocation graph showing the portfolio holdings sorted by investment objective or asset type. The report displays a maximum of 10 accounts.



## Portfolio Snapshot Summary Combined Account Portfolio

Prepared for: Sample Investor

### Portfolio Return

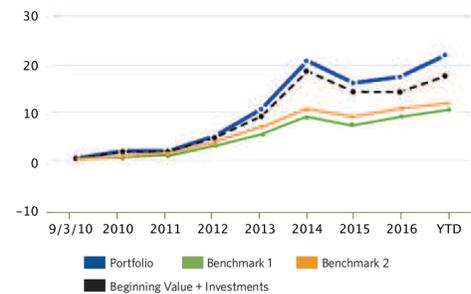
Period: 9/3/10 - 7/11/17

	SELECTED PERIOD (\$)	LAST QTR (\$)	YEAR TO DATE (\$)	LAST YEAR (\$)	SINCE START DATE (\$) 1/1/08
Beginning Value	0	19,211,380	17,359,463	16,077,421	0
Net Contribution	17,551,709	2,370,090	3,367,919	-55,117	17,551,709
Change in Value	4,405,553	395,131	1,229,879	1,337,159	4,405,553
Ending Value	21,957,262	21,976,601	21,957,262	17,359,463	21,957,262
Return	7.36%	2.00%	6.71%	8.24%	7.36%
Benchmark 1: BARCLAYS CAPITAL U.S. INTERMEDIATE GOVERNMENT/CREDIT BOND					
	1.05%	1.05%	1.05%	1.05%	1.05%
Benchmark 2: DOW JONES - 30 INDUSTRIAL AVG					
	1.58%	1.58%	1.58%	1.58%	1.58%

### Portfolio Value

Period: 9/3/10 - 7/11/17

\$21,957,262



### Gain / Loss

Realized: \$1,500    Unrealized: -\$2,187    As of: 7/11/17

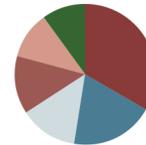
### Account Performance

Period: 9/3/10 - 7/11/17

ACCOUNT	START DATE	VALUE (\$)	% OF TOTAL	SELECTED PERIOD (%)	YEAR TO DATE (%)	LAST YEAR (%)	SINCE START DATE (%)
US Equity	9/7/10	4,370,817	19.90	9.99 <sup>b</sup>	6.32	10.66	9.99 <sup>b</sup>
International	9/3/10	3,699,638	16.85	5.49	11.62	2.96	5.49
2NC031391	2/21/12	3,589,299	16.34	8.22 <sup>b</sup>	7.06	10.19	8.22 <sup>b</sup>
Fixed Income/Cash Reserve	11/1/12	3,252,001	14.81	2.29 <sup>b</sup>	4.03	12.68	2.29 <sup>b</sup>
81335427893	10/14/11	3,080,355	14.03	9.76 <sup>b</sup>	8.62	10.98	9.76 <sup>b</sup>
81335427893	9/9/10	2,210,170	10.06	-0.15 <sup>b</sup>	0.05	-- <sup>b</sup>	-0.15 <sup>b</sup>
Muni Bond	9/16/10	1,506,775	6.86	1.47 <sup>b</sup>	2.08	-0.38	1.47 <sup>b</sup>
SEP IRA	2/22/16	240,881	1.10	15.87 <sup>b</sup>	8.25	-- <sup>b</sup>	15.87 <sup>b</sup>
IRA	10/29/10	12,250	0.06	6.67 <sup>b</sup>	3.99	15.40	6.67 <sup>b</sup>
Arise Home Investments	1/17/14	0	0.00	-1.01 <sup>b</sup>	-- <sup>b</sup>	-- <sup>b</sup>	-1.01 <sup>b</sup>

### Asset Category

As of: 7/11/17



ASSET CATEGORY	VALUE (\$)	(%)
Asset Category 1	51,160.39	28.10
Asset Category 2	26,478.73	16.30
Asset Category 3	22,736.56	11.20
Asset Category 4	21,802.63	11.10
Asset Category 5	20,095.38	9.30
Asset Category 6	17,758.37	8.20
<b>Total:</b>	<b>\$358,122.73</b>	<b>100%</b>

<sup>a</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

# Portfolio Summary

The Portfolio Summary Report provides a high-level overview of a portfolio's performance over a selected period of time. Key net contributions and change in value information is broken out into separate line items to provide greater detail. It also features a waterfall chart to display your client's money movement over the report's time period.



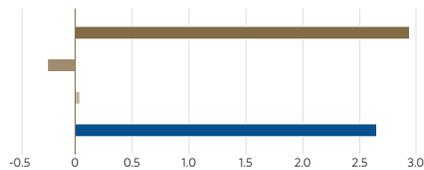
Portfolio Summary  
Portfolio Name

Prepared for: Client Firstname Lastname

Period: 1/1/16 - 3/31/16

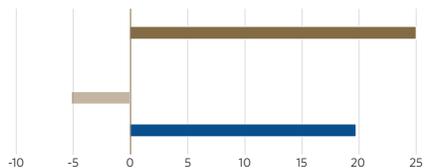
## Core Accounts (millions \$)

Return **1.66 %**



	VALUE (\$)
<b>Beginning Value</b>	<b>2,935,960</b>
<b>Net Contribution</b>	<b>-332,258</b>
Dividends Not Reinvested	-3,228
Net Cash Activity	-329,030
<b>Change In Value</b>	<b>45,076</b>
Dividend Reinvested	23,606
Fees	-74
Market Gain/Loss	21,544
<b>Ending Value</b>	<b>2,648,777</b>

## Manual Accounts (thousands \$)



	VALUE (\$)
<b>Beginning Value</b>	<b>24,999</b>
<b>Net Contribution</b>	<b>0</b>
<b>Change In Value</b>	<b>-5,277</b>
<b>Ending Value</b>	<b>19,722</b>

<sup>^</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Advisor Firstname Lastname

# Portfolio Summary with Transactions

The Portfolio Detail with Transactions Report provides a high-level overview of a portfolio's performance, with all underlying transactions, over a period of time. Net contributions and change in value are broken out into separate amounts to provide greater detail. Each segment is shown separately along with a combined total.



## Portfolio Detail with Transactions Combined Account Portfolio

Prepared for: Sample Investor

Period: 12/22/16 - 3/21/17

### Core Accounts

	DATE	ACCOUNT	ASSET	ACTION	VALUE (\$)
<b>Beginning Value</b>					<b>86,574.77</b>
<b>Net Contribution</b>					<b>0.00</b>
Net Cash Activity					0.00
	1/8/17	523481	CASH	Purchase	218.48
	1/8/17	523481	SEI LIQUID ASSET PRIME OBL FUND	Sell	-218.48
	1/7/17	523481	CASH	Purchase	2,214.57
	1/7/17	523481	SEI INSTL MANAGED TR LRGCAP FD A	Sell	-2,214.57
	1/7/17	523481	SEI INSTL MANAGED TR MULT ASSACUM A	Purchase	1,148.17
	1/7/17	523481	CASH	Sell	-1,148.17
	1/7/17	Children's 529 Account	SEI INTERNATIONALEMERGING MARKETS DEBT FUND	Purchase	758.14
	1/7/17	523481	CASH	Sell	-758.14
	1/7/17	523481	SEI INSTL MANAGED TR MULT A INFMG A	Purchase	308.26
	1/7/17	523481	CASH	Sell	-308.26
<b>Change In Value</b>					<b>1,298.17</b>
Fees					-218.48
	3/4/17	523481	SEI INSTITUTIONALLY MANAGED HIGH-YIELD BOND FUND	Dividend reinvestment	25.26
	3/4/17	523481	SEI INSTL MANAGED TR US FXD INCM A	Dividend reinvestment	12.43
	2/3/17	523481	SEI INSTITUTIONALLY MANAGED HIGH-YIELD BOND FUND	Dividend reinvestment	28.40
	2/3/17	523481	SEI INSTL MANAGED TR US FXD INCM A	Dividend reinvestment	12.40
	1/2/17	523481	SEI INSTITUTIONALLY MANAGED HIGH-YIELD BOND FUND	Dividend reinvestment	28.60
	1/2/17	523481	SEI INSTL MANAGED TR US FXD INCM A	Dividend reinvestment	12.56
	12/30/16	523481	SEI INSTL MANAGED TR LRGCAP FD A	Dividend reinvestment	36.95
	12/30/16	523481	SEI INSTL MANAGED TR MLTSTRG ALT A	Dividend reinvestment	19.87
	12/30/16	523481	SEI INSTL MANAGED TR MULT ASSACUM A	Dividend reinvestment	6.92
	12/30/16	523481	SEI INSTL MANAGED TR SMALLCAP FD A	Dividend reinvestment	0.57
	12/27/16	523481	SEI INTERNATIONAL EQUITY FUND	Dividend reinvestment	128.46
	12/27/16	Children's 529 Account	SEI INTERNATIONAL EMERGING MARKETS DEBT FUND	Dividend reinvestment	50.87

<sup>A</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

Quarterly Report Package

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# Portfolio Value and Benchmark

The Portfolio Value and Benchmark Report tracks performance of a portfolio against an industry or composite index. You can overlay up to two benchmark indices from a choice of over 100 available indices (including the S&P 500, Dow Jones Industrial Average and Barclays Aggregate Bond Index), or use a custom-blended composite. A display of your client's portfolio gives a better understanding of relative performance. This report can also be run without any assigned benchmarks to chart the portfolio's change for a period of time. You may elect to suppress the "legend" or numerical values.



Portfolio Value and Benchmark  
Portfolio Name

Prepared for: Client Firstname Lastname

Period: 1/1/16 - 3/31/16

## Portfolio Value (thousands \$)



## Portfolio Performance

PERIOD	ENDING VALUE (\$)	NET CONTRIBUTION (\$)	CHANGE IN VALUE (\$)	PORTFOLIO (%)	PERFORMANCE (%)	S&P 500 (%)	DIFF (%)
Start	376,463						
Q1, 13	237,741	0	10,388	0.20	1.00	1.00	0.24
Q2, 13	237,283	0	7,555	-0.19	1.43	1.43	0.73
Q3, 13	234,644	0	-6,073	-1.11	3.72	3.72	1.58
Q4, 13	233,189	-893	21,716	-0.62	1.43	1.43	-0.39
Q1, 14	236,370	0	1,116	1.30	-1.70	-1.70	3.16%
<b>1/1/13 - 2/1/14</b>	<b>\$ 236,370</b>	<b>-\$893</b>	<b>\$ 1,116</b>	<b>-0.38%</b>	<b>5.88%</b>		

\*Represents partial period

<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# Security Performance

The Security Performance Report shows the multi-period performance for all clients at the asset, account, investor and portfolio levels.



Security Performance  
Portfolio Name

Prepared for: Client Firstname Lastname

Period: 1/1/16 - 3/31/16

## SAMPLE INVESTOR 1

Account Name: Sample Account 1

Account Number: XXXX6226

Account Type: Non-ERISA Retirement

Manager: Strategic Portfolio

Managed Account Type: SMA (Separately Managed Account)

Program: Strategic Portfolio IRA Accounts

ASSET	VALUE (\$)	SELECTED PERIOD (%)	YEAR TO DATE (%)	ONE YEAR (%)	THREE YEARS (%)	FIVE YEARS (%)	SINCE START DATE (%) 6/22/11
**BLACKROCK FDS II U S OPPORTUNITIES PRFTLIO INSTL CL	0	--14	--14	--6	--6	--6	--6
**COHEN & STEERS REALTY INCOME FUND CL I	1,404	6.36	6.36	4.98	--6	--6	--6
**DELAWARE GROUP EMERGING MARKETS FUND-INSTL CL	1,240	-5.35	-5.35	4.76	--6	--6	--6
**SHORT DURATION BONDFD SELECT CL	12,596	0.38	0.38	0.37	--6	--6	--6
**TR I EMERGING MKTS EQUITY FD INSTL	2,406	-6.12	-6.12	--6	--6	--6	--6
**TR I INTL VALUE FD INSTL CL	2,634	-2.93	-2.93	--6	--6	--6	--6
**TR I MID CAP EQUITY FD SELECT CL	2,729	0.89	0.89	27.80	--6	--6	--6
**TR II CORE BD FD SELECT CL	8,996	1.43	1.43	-0.18	--6	--6	--6
**MFS RESEARCH INTERNATIONAL FD CL I	3,268	-2.28	-2.28	--6	--6	--6	--6
**OAKMARK FUND	6,841	-2.11	-2.11	24.72	--6	--6	--6
**PIMCO SHORT TERM FUND INSTL CL	17,461	0.39	0.39	--6	--6	--6	--6
**THORNBURG INTL VALUE FUND CL I	2,630	-3.77	-3.77	7.09	--6	--6	--6
BLACKROCK FDS HIGH YIELD BD PORTFOLIO INSTL	4,607	1.77	1.77	--6	--6	--6	--6
DREYFUS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CL I	0	--14	--14	--6	--6	--6	--6
FEDERATED INSTITUTIONAL HIGHYIELD BOND FUND	3,941	1.76	1.76	7.60	--6	--6	--6
GOLDMAN SACHS EMERGING MKTS DEBT FD INSTL CL	1,582	0.51	0.51	-4.51	--6	--6	--6
ISHARES MSCI EAFE ETF	1,452	-1.57	-1.57	--6	--6	--6	--6
ISHARES RUSSELL 2000 ETF	3,486	-2.51	-2.51	--6	--6	--6	--6
JOHN HANCOCK INCOME FUND CL I	2,609	1.40	1.40	--6	--6	--6	--6
TR I EMERGING MKTS EQUITY FD SELECT	0	--14	--14	--6	--6	--6	--6
TR I INTL VALUE FD SELECT CL	0	--14	--14	--6	--6	--6	--6
TR I U S EQUITY FD INSTL CL	7,050	-1.13	-1.13	--6	--6	--6	--6
TR I U S EQUITY FD SELECT CL	0	--14	--14	--6	--6	--6	--6

<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Advisor Firstname Lastname

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# Tax Lot Gain/Loss by Investor

The Tax Lot Gain/Loss by Investor Report provides unrealized and realized gain/loss reporting for assets within selected portfolio reflecting the cost basis you assign through the application. You can choose from several methodologies to assign cost basis, including average cost (single and double category) and assigned lot matching.



## Tax Lot Gain/Loss by Investor Combined Account Portfolio

Prepared for: Sample Investor

Period: 12/22/16 - 3/21/17

### Sample Investor 1

Account Name: Sample Account 1

Account Number: XXXXX3893

ASSET	UNITS	TOTAL COST (\$)	CLOSED VALUE (\$)	GAIN/LOSS (\$)		
				UNREALIZED	REALIZED	TOTAL
SEI INST. MANAGED LARGE CAP VALUE FUND	1,613.95	25,567.90	37,298.48	11,730.58	208.75	11,939.33
SEI INSTITUTIONALLY MANAGED CORE FIXED INCOME FUND	4,670.18	49,375.12	48,289.68	-1,085.44	-14.64	-1,100.08
SEI INSTITUTIONALLY MANAGED HIGH-YIELD BOND FUND	826.53	6,650.75	7,108.16	457.44	7.85	465.29
SEI INSTITUTIONALLY MANAGED SMALL-CAP VALUE FUND	316.28	5,315.57	6,891.68	1,576.10	21.07	1,597.17
SEI INSTL MANAGED TR-LG CAP GR A	1,676.54	23,291.37	35,593.03	12,301.65	192.01	12,493.66
SEI INSTL MANAGED TR-SM CAP GR A	274.66	3,095.72	5,663.49	2,567.77	40.97	2,608.74
SEI INTERNATIONAL EMERGING MARKETS DEBT FUND	854.59	8,481.39	9,409.01	927.64	13.24	940.88
SEI INTERNATIONAL EMERGING MARKETS FUND	830.99	7,239.32	14,185.05	6,945.74	87.60	7,033.34
SEI INTERNATIONAL EQUITY FUN	1,767.18	13,205.40	26,560.70	13,355.30	712.61	14,067.91
SEI INTL TR-INTL FIXD INCOME TRUST	1,078.79	12,182.01	11,650.92	-531.08	-7.34	-538.42
<b>Account Subtotal:</b>		<b>\$154,404.55</b>	<b>\$202,650.20</b>	<b>\$48,245.70</b>	<b>\$1,262.11</b>	<b>\$49,507.81</b>
<b>Account Other Holdings/Liabilities Total:</b>		<b>\$0.00</b>				
<b>Account Total:</b>		<b>\$202,650.20</b>				
<b>Investor Subtotal:</b>		<b>\$154,404.55</b>	<b>\$202,650.20</b>	<b>\$48,245.70</b>	<b>\$1,262.11</b>	<b>\$49,507.81</b>
<b>Investor Other Holdings/Liabilities Total:</b>		<b>\$0.00</b>				
<b>Investor Total:</b>		<b>\$202,650.20</b>				
<b>Portfolio Subtotal:</b>		<b>\$154,404.55</b>	<b>\$202,650.20</b>	<b>\$48,245.70</b>	<b>\$1,262.11</b>	<b>\$49,507.81</b>
<b>Portfolio Other Holdings/Liabilities Total:</b>		<b>\$0.00</b>				
<b>Portfolio Total:</b>		<b>\$202,650.20</b>				

^This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

Quarterly Report Package

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# Tax Lot Realized Gain/Loss by Investor

The Tax Lot Realized Gain/Loss by Investor Report provides realized gain/loss reporting for the assets within a selected portfolio, reflecting the cost basis you assign through the application. The report isolates the gains and losses realized through the period.



## Tax Lot Realized Gain/Loss by Investor Combined Account Portfolio

Prepared for: Sample Investor

As of: 6/7/12

### Sample Investor 1

Account Name: Sample Account 1

Account Number: XXXXX9999

ASSET	OPEN	CLOSED	UNITS	TOTAL COST(\$)	CLOSED VALUE(\$)	SHORT TERM	REALIZED GAIN/LOSS (\$) LONG TERM	TOTAL
SEI INST. MANAGED LARGE CAP VALUE FUND			23.11	331.25	540.00	--	208.75	208.75
SEI INSTITUTIONALLY MANAGED CORE FIXED INCOME FUND			72.32	764.64	750.00	0.51	-15.15	-14.64
SEI INSTITUTIONALLY MANAGED HIGH-YIELD BOND FUND			13.93	112.15	120.00	0.17	7.69	7.85SEI
INSTITUTIONALLY MANAGED SMALL- CAP VALUE FUND			4.10	68.93	90.00	0.27	20.80	21.07
SEI INSTL MANAGED TR-LG CAP GR A			25.05	347.99	540.00	0.06	191.95	192.01
SEI INSTL MANAGED TR-SM CAP GR A			4.35	49.03	90.00	1.11	39.86	40.97
SEI INTERNATIONAL EMERGING MARKETS DEBT FUND			10.72	106.76	120.00	0.22	13.02	13.24
SEI INTERNATIONAL EMERGING MARKETS FUND			10.60	92.40	180.00	0.55	87.05	87.60
SEI INTERNATIONAL EQUITY FUND			101.82	760.91	1,473.52	-0.59	713.20	712.61
SEI INTL TR-INTL FIXD INCOME TRUST			16.59	187.34	180.00	0.03	-7.37	-7.34
<b>Account Total:</b>				<b>\$2,821.41</b>	<b>\$4,083.52</b>	<b>\$2.33</b>	<b>\$1,259.78</b>	<b>\$1,262.11</b>
<b>Investor Total:</b>				<b>\$2,821.41</b>	<b>\$4,083.52</b>	<b>\$2.33</b>	<b>\$1,259.78</b>	<b>\$1,262.11</b>
<b>Portfolio Total:</b>				<b>\$2,821.41</b>	<b>\$4,083.52</b>	<b>\$2.33</b>	<b>\$1,259.78</b>	<b>\$1,262.11</b>

^This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor  
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# Tax Lot Unrealized Gain/Loss by Investor

The Tax Lot Unrealized Gain/Loss by Investor Report provides unrealized gain/loss reporting for the assets within the selected portfolio, for those positions that are still open.



## Tax Lot Unrealized Gain/Loss by Investor Combined Account Portfolio

Prepared for: Sample Investor

As of: 6/7/12

### Core Accounts

#### Sample Investor 1

Account Name: Sample Account 1

ID: 1829492

Account Number: XXXXX9999

Manager: AEW Capital Management,

Managed Account Type: Boyce & Bynum Pathology Labs

Account Type: Brokerage IRA

L.P. - Diversified Separate Account

Program: Tims

ASSET	OPEN	UNITS	TOTAL COST(\$)	CURRENT VALUE(\$)	SHORT (\$)	LONG (\$)	TOTAL (\$)
DUNHAM CORP/GOVT BOND FD CLS N		2,832.88	39,943.68	39,972.01	28.33		28.33
	2/20/14	2,832.88	39,943.68	39,972.01	28.33		28.33
DUNHAM FDS MTH DISTRIB N		2,606.61	--	100,067.76	--	--	--
DUNHAM HIGH-YIELD BOND N		6,744.27	65,621.76	65,756.64	134.88		134.88
	2/20/14	6,744.27	65,621.76	65,756.64	134.88		134.88
FLOATING RATE BOND CL N		4,826.17	48,503.04	48,454.78	-48.26		-48.26
	2/20/14	4,826.17	48,503.04	48,454.78	-48.26		-48.26
INTERNATIONAL OPPORTUNITY BOND CL N		3,138.51	31,385.10	31,542.03	156.93		156.93
	2/20/14	3,138.51	31,385.10	31,542.03	156.93		156.93
<b>Sample Account 1 Subtotal:</b>			--	<b>\$285,793.21</b>	--	--	--
<b>Sample Account 1 Other Holdings/Liabilities Total:</b>			--	<b>\$6,402.71</b>	--	--	--
<b>Sample Account 1 Total:</b>			--	<b>\$292,195.92</b>	--	--	--

^This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

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# Transactions by Investor

The Transactions by Investor Report lists the transactions associated with your client's accounts for the period selected. It includes the date, asset name, action, quantity, price and value.



## Transactions by Investor Combined Account Portfolio

Prepared for: Sample Investor

As of: 6/7/12

### Sample Investor 1

**Account Name:** Sample Account 1

**Account Number:** XXXXX2945

**Account Type:** Trusts

**Manager:** AEW Capital Management,

L.P. - Diversified Separate Account

**Managed Account Type:** Boyce & Bynum Pathology Labs

**Program:** Tims

DATE	ASSET	ACTION	QUANTITY	PRICE (\$)	VALUE (\$)
2/28/17	DUNHAM CORP/GOVT BOND FD CLS N	Dividend - Cash disbursement - ACH	0.00	1.00	0.00
2/28/17	DUNHAM FDS MTH DISTRIB N	Dividend - Cash disbursement - ACH	0.00	1.00	265.10
2/28/17	DUNHAM HIGH-YIELD BOND N	Dividend - Cash disbursement - ACH	0.00	1.00	265.05
2/28/17	FLOATING RATE BOND CL N	Dividend - Cash disbursement - ACH	0.00	1.00	135.13
2/28/17	INTERNATIONAL OPPORTUNITY BOND CL N	Dividend - Cash disbursement - ACH	0.00	1.00	14.44
2/20/17	DUNHAM CORP/GOVT BOND FD CLS N	Transfer Purchase	2,832.88	14.10	39,943.68
2/20/17	DUNHAM FDS MTH DISTRIB N	Transfer Purchase	2,606.61	38.31	99,859.22
2/20/17	DUNHAM HIGH-YIELD BOND N	Transfer Purchase	6,744.27	9.73	65,621.76
2/20/17	Dunham Milestone Treasury Oblig	Dividend - Reinvest distributions	0.78	1.00	0.78
2/20/17	Dunham Milestone Treasury Oblig	Transfer Redemption	285,312.80	1.00	285,312.80
2/20/17	FLOATING RATE BOND CL N	Transfer Purchase	4,826.17	10.05	48,503.04
2/20/17	INTERNATIONAL OPPORTUNITY BOND CL N	Transfer Purchase	3,138.51	10.00	31,385.10
1/29/17	Dunham Milestone Treasury Oblig	Fee Adjustment Dollars	1,103.21	1.00	1,103.21
1/27/17	DUNHAM FDS MTH DISTRIB N	Transfer Redemption	7,561.12	37.88	286,415.23
1/27/17	Dunham Milestone Treasury Oblig	Transfer Purchase	286,415.23	1.00	286,415.23

**Account Name:** Sample Account 2

**Account Number:** XXXXX2945

**Account Type:** Trusts

DATE	ASSET	ACTION	QUANTITY	PRICE (\$)	VALUE (\$)
3/17/17	Dunham Milestone Treasury Oblig	Dividend - Reinvest distributions	0.73	1.00	0.73
3/17/17	Dunham Milestone Treasury Oblig	Fee Adjustment Dollars	973.00	1.00	973.00
3/17/17	Dunham Milestone Treasury Oblig	Fee Adjustment Dollars	25.00	1.00	25.00

<sup>A</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

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# Transactions by Portfolio

The Transactions by Portfolio Report lists all of the transactions associated with the portfolio for the period selected and displays transaction date, account name, action, asset name, quantity, price and value for any period.



## Transactions by Portfolio Combined Account Portfolio

Prepared for: Sample Investor

Period: 12/22/16 - 3/21/17

### Core Accounts

DATE	ASSET	ACTION	QUANTITY	PRICE (\$)	VALUE (\$)
3/18/17	BROKERAGE MONEY MARKET	Initial Position	8,011.65	1.00	8,011.65
3/17/17	Dunham Milestone Treasury Oblig	Fee Adjustment Dollars	973.00	1.00	973.00
3/17/17	Dunham Milestone Treasury Oblig	Direct Redemption	314,098.24	1.00	314,098.24
3/17/17	Dunham Milestone Treasury Oblig	Fee Adjustment Dollars	25.00	1.00	25.00
3/17/17	Dunham Milestone Treasury Oblig	Dividend - Reinvest distributions	0.73	1.00	0.73
3/3/17	PHILLIPS EDISON ARC SHOPPING CNTR REIT	Dividend - Cash disbursement - ACH	0.00	1.00	102.76
2/28/17	DUNHAM FDS MTH DISTRIB N	Dividend - Cash disbursement - ACH	0.00	1.00	265.10
2/28/17	INTERNATIONAL OPPORTUNITY BOND CL N	Dividend - Cash disbursement - ACH	0.00	1.00	14.44
2/28/17	DUNHAM HIGH-YIELD BOND N	Dividend - Cash disbursement - ACH	0.00	1.00	265.05
2/28/17	FLOATING RATE BOND CL N	Dividend - Cash disbursement - ACH	0.00	1.00	135.13
2/28/17	DUNHAM CORP/GOVT BOND FD CLS N	Dividend - Cash disbursement - ACH	0.00	1.00	0.00
2/20/17	DUNHAM FDS MTH DISTRIB N	Transfer Purchase	2,606.61	38.31	99,859.22
2/20/17	DUNHAM HIGH-YIELD BOND N	Transfer Purchase	6,744.27	9.73	65,621.76
2/20/17	FLOATING RATE BOND CL N	Transfer Purchase	4,826.17	10.05	48,503.04
2/20/17	INTERNATIONAL OPPORTUNITY BOND CL N	Transfer Purchase	3,138.51	10.00	31,385.10
2/20/17	DUNHAM CORP/GOVT BOND FD CLS N	Transfer Purchase	2,832.88	14.10	39,943.68
2/20/17	Dunham Milestone Treasury Oblig	Dividend - Reinvest distributions	0.78	1.00	0.78
2/20/17	Dunham Milestone Treasury Oblig	Transfer Redemption	285,312.80	1.00	285,312.80
2/3/17	PHILLIPS EDISON ARC SHOPPING CNTR REIT	Dividend - Cash disbursement - ACH	0.00	1.00	113.77
1/29/17	Dunham Milestone Treasury Oblig	Fee Adjustment Dollars	1,103.21	1.00	1,103.21
1/29/17	Dunham Milestone Treasury Oblig	Fee Adjustment Dollars	1,202.64	1.00	1,202.64
1/27/17	DUNHAM HIGH-YIELD BOND N	Transfer Redemption	7,547.09	9.67	72,980.39
1/27/17	DUNHAM HIGH-YIELD BOND N	Account Liquidation Adjustment	7,547.09	9.67	72,980.39
1/27/17	DUNHAM FDS MTH DISTRIB N	Transfer Redemption	2,902.86	37.88	109,960.37
1/27/17	DUNHAM FDS MTH DISTRIB N	Account Liquidation Adjustment	2,902.86	37.88	109,960.37
1/27/17	INTERNATIONAL OPPORTUNITY BOND CL N	Account Liquidation Adjustment	3,514.49	9.95	34,969.21
1/27/17	INTERNATIONAL OPPORTUNITY BOND CL N	Transfer Redemption	3,514.49	9.95	34,969.21
1/27/17	FLOATING RATE BOND CL N	Transfer Redemption	5,360.95	10.05	53,877.55

<sup>a</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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# UMA Model Comparison

The UMA Model Comparison Report provides manager sleeve current values as well as targeted model values for drift reporting. The report is displayed 1 UMA account at a time.



**UMA Model Comparison**  
Portfolio Name

Prepared for: Client Firstname Lastname

As of: 3/31/16

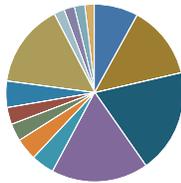
**Account Name:** Brantley Account Pershing Llc As Custodian Ira Fbo Rob J Stock Rollover Account 14 Fictitious Lane Morristown Nj 07960-5954

**Account Number:** C00000002

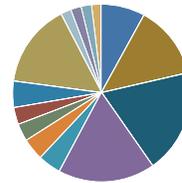
**Program:** Dynamic Allocation Port-FA Discretion-Custom-CPWM

Custom Model 4

### Current Allocation



### Custom Model 4 Allocation



	CURRENT VALUE (\$)	MODEL VALUE (\$)	VARIANCE (\$)	CURRENT (%)	MODEL (%)	VARIANCE (%)
<b>Equity</b>						
<b>Emerging Markets Equity</b>						
Lazard Emerging Markets Portfolio (Institut)	16,338.94	16,386.48	47.54	8.00	8.00	0.00
<b>International Equity</b>						
Thornburg International Value Fund Class I	14,590.34	14,338.17	-252.17	7.10	7.00	-0.10
Artisan International Value Fund	12,974.16	12,289.86	-684.30	6.30	6.00	-0.30
<b>US Large Cap Growth</b>						
Mainstay Large-Cap Growth Fund Class I	38,671.79	36,869.57	-1,802.22	18.90	18.00	-0.90
<b>US Large Cap Value</b>						
BlackRock Equity Dividend Fund Inst Class	35,877.92	36,869.58	991.66	17.50	18.00	0.50
<b>US Mid Cap Growth</b>						
Prudential Jennison Mid-Cap Growth Fund	8,530.46	8,193.24	-337.22	4.20	4.00	-0.20
<b>US Mid Cap Value</b>						
iShares Tr Russell Midcap Value Index Fd	8,237.72	8,193.24	-44.48	4.00	4.00	0.00

Prepared by: Advisor Firstname Lastname

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# UMA Performance

The UMA Performance Report provides manager and sleeve returns along with both manager and sleeve level benchmark returns. The report has the option to be ran with optional standard deviation numbers for each time period. The data is refreshed weekly for the on-demand report.



**UMA Performance**  
Portfolio Name

Prepared for: Client Firstname Lastname

Period: 2/23/16 - 3/31/16

**Account Name:** Brantley Account Pershing Llc As Custodian Ira Fbo Brian H Stock Bene Otakar Horna Decd 14 Fictitious Lane Morristown Nj 07960-5954

**Account Number:** C00000002

**Program:** Dynamic Allocation Port-FA Discretion-Custom-CPWM

	START DATE	SINCE START DATE TO LATEST QUARTER END (%)	YEAR TO DATE (%)	ONE YEAR (%)	THREE YEAR (%) RETURN	STD DEV	FIVE YEAR (%) RETURN	STD DEV	SINCE START DATE (%) RETURN	STD DEV
Tailored Portfolio Group - UMA Custom Model 4	11/5/09	5.80	9.61	10.81	7.19	12.04	-- <sup>6</sup>	--	8.04	12.03
Custom Model 4 Benchmark <sup>61</sup>		5.75	11.93	13.56	11.01	11.58	-- <sup>6</sup>	--	11.55	11.63
S&P 500 Total Return		5.25	19.80	19.35	16.27	14.50	-- <sup>6</sup>	--	14.80	15.26
Russell 2000		10.21	27.69	30.06	18.29	19.78	-- <sup>6</sup>	--	18.65	19.41
Barclays Aggregate Bond		0.57	-1.89	-1.68	2.86	3.15	-- <sup>6</sup>	--	4.22	3.16
MSCI EAFE Growth		10.50	16.54	23.27	8.88	17.39	-- <sup>6</sup>	--	8.81	17.49

## Equity

### Emerging Markets Equity

Lazard Emerging Markets Portfolio (Institut)	11/5/09	6.16	-3.17	2.59	0.37	20.67	-- <sup>6</sup>	--	5.61	19.58
MSCI Emerging Markets Net		5.77	-4.35	0.98	-0.33	20.33	-- <sup>6</sup>	--	4.07	19.31

### International Equity

Artisan International Value Fund	6/10/13	11.75	-- <sup>6</sup>	-- <sup>6</sup>	-- <sup>6</sup>	--	-- <sup>6</sup>	--	9.20	--
MSCI EAFE Net Taxes		11.56	-- <sup>6</sup>	-- <sup>6</sup>	-- <sup>6</sup>	--	-- <sup>6</sup>	--	8.26	--
Thornburg International Value Fund Class I	11/4/11	9.77	10.33	16.58	-- <sup>6</sup>	--	-- <sup>6</sup>	--	10.27	--
MSCI AC World Ex US Net Taxes		10.09	10.04	16.48	-- <sup>6</sup>	--	-- <sup>6</sup>	--	10.75	--

### US Large Cap Growth

Mainstay Large-Cap Growth Fund Class I	11/4/11	10.70	22.57	20.88	-- <sup>6</sup>	--	-- <sup>6</sup>	--	15.72	--
Russell 1000 Growth		8.11	20.87	19.27	-- <sup>6</sup>	--	-- <sup>6</sup>	--	18.45	--

### US Large Cap Value

BlackRock Equity Dividend Fund Inst Class	11/5/09	2.78	12.74	13.23	12.95	12.47	-- <sup>6</sup>	--	12.03	13.21
Russell 1000 Value		3.94	20.47	22.30	16.25	15.33	-- <sup>6</sup>	--	14.83	15.85

Prepared by: Advisor Firstname Lastname

Incomplete if presented without accompanying disclosure pages

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# Yield by Investor

The Yield by Investor Report illustrates the current asset value, yield and projected income of positions held in the portfolio broken out by investor and account. Note: Use of this report may require you to provide Albridge with third-party information.



## Yield by Investor Combined Account Portfolio

Prepared for: Sample Investor

As of: 6/7/12

### Core Accounts

#### Sample Investor 1

**Account Name:** Sample Account 1

**Account Number:** XXXXX2945

**Account Type:** Trusts

**Manager:** AEW Capital Management, L.P.  
Diversified Separate Account

**Managed Account Type:** BOYCE & BYNUM PATHOLOGY LABS  
**Program:** TIMS

ASSET	TICKER	CURRENT VALUE (\$)	ESTIMATED ANNUAL YIELD (%)	ESTIMATED ANNUAL INCOME (\$)
DUNHAM CORP/GOVT BOND FD CLS N	DNCGX	39,972.18	3.08	1,230.13
DUNHAM FDS MTH DISTRIB N	DNMDX	100,067.26	--	--
DUNHAM HIGH-YIELD BOND N	DNHYX	65,756.41	4.74	3,119.35
FLOATING RATE BOND CL N	DNFRX	48,454.62	1.86	902.22
INTERNATIONAL OPPORTUNITY BOND CL N	DNIOX	31,542.09	0.61	191.14
<b>Sample Account 1 Total:</b>		<b>\$285,792.56</b>		<b>\$5,442.84</b>

**Account Name:** Sample Account 2

**Account Number:** XXXXX8677

**Account Type:** Trusts

ASSET	TICKER	CURRENT VALUE (\$)	ESTIMATED ANNUAL YIELD (%)	ESTIMATED ANNUAL INCOME (\$)
BROKERAGE MONEY MARKET		8,011	--	--
<b>Sample Account 2 Total:</b>		<b>\$8,011</b>		<b>--</b>

**Account Name:** Sample Account 3

**Account Number:** XXXXX4086

**Account Type:** Trusts

ASSET	TICKER	CURRENT VALUE (\$)	ESTIMATED ANNUAL YIELD (%)	ESTIMATED ANNUAL INCOME (\$)
PHILLIPS EDISON ARC SHOPPING CNTR REIT		20,000	--	--
<b>Sample Account 3 Total:</b>		<b>\$20,000</b>		<b>--</b>
<b>Sample Investor 1 Total:</b>		<b>\$313,804</b>		<b>\$5,442</b>
<b>Core Accounts Total:</b>		<b>\$313,804</b>		<b>\$5,442</b>

<sup>^</sup>This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

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# Yield by Portfolio

The Yield by Portfolio Report illustrates the current asset value, yield and projected income of positions held in the portfolio. Note: Use of this report may require you to provide Albridge with third-party information.



## Yield by Portfolio Combined Account Portfolio

Prepared for: Sample Investor

As of: 6/7/12

ACCOUNT	ASSET	TICKER	CURRENT VALUE (\$)	ESTIMATED ANNUAL YIELD (%)	ESTIMATED ANNUAL INCOME (\$)
XXXXX7355	INDEPENDENCE MO SCH DIST CROSSOVER-RFDG 5.000% 03/01/15 B/EDTD 04/01/04		27,737.39	4.51	1,250.00
XXXXX7355	MISSOURI ST HWYS TRANSN COMMN ST RD REV FIRST LIEN-SER A 5.000% 05/01/11 B/EDTD 07/14/05		42,574.09	4.70	2,000.00
XXXXX7355	MISSOURI ST ENVIRONMENTAL IMPT &ENERGY RES AUTH WTR POLL CTL REV RFDG 5.500% 07/01/13 B/E		45,318.11	4.85	2,200.00
XXXXX7355	ROCKFORD MICH PUB SCHS RFDG-SER A 5.000% 05/01/12 B/EDTD 03/13/02		26,745.56	4.67	1,250.00
XXXXX7355	SAINT CHARLES CNTY MO FRANCIS HOWELL SCH DIST CROSSOVER RFDG-SER A 5.250% 03/01/14 B/E		27,421.77	4.79	1,312.50
XXXXX7355	ST LOUIS MO BRD 5.25% GO UTX DUE 04/01/19		27,962.90	4.69	1,312.50
XXXXX7355	CASH		34,256.36	0.00	0.00
<b>Portfolio Total:</b>			<b>\$232,016.17</b>		<b>\$9,325.00</b>

^This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: Sample Advisor

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# Compliance Disclosure

Compliance disclosure language can be customized for your firm. If a report has manually entered or external accounts, an additional disclosure is included. In online versions, the disclosure appears near the bottom of the page. On PDF versions, it is the last page of the report. Also, on PDF versions, there is a footer on each page that states the report is, "incomplete if presented without the accompanying disclosure page."

## Quarterly Performance Report



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Investment Advisors Inc  
13508 East 151st Avenue  
Willard, KY 41181  
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Combined Account Portfolio  
Date: 09/30/2009  
Created: 09/30/2009

### Disclosure:

Securities and investment advisory services are offered through Brantley Wealth Management Securities LLC.

The following disclosures are applicable to the Performance Report included herein. The strategies and asset classes discussed herein may not be suitable for all investors.

Please note that the Security Performance included within this report is reported gross of fees as described above. The Selected Period within this report reflects the calendar quarter for which this report was run.

This report is for informational purposes only and is not an official account statement. We urge you to compare this Performance Review with the account statements you receive from the custodian of your account assets, Brantley Wealth Management Clearing Corp. In doing so, please remember that in measuring performance in a way that conforms to investment management industry practice, using daily time-weighted rate of return as noted above, your Quarterly Performance Review may differ slightly from your monthly account statement. For example, the Quarterly Performance Review is prepared on a trade date basis, reflecting holdings as of the day transactions are executed, while your monthly statement reports holdings on a settlement date basis, which is typically three business days (or less) after the trade date.

Performance returns reflect a daily time-weighted rate of return. Performance returns are presented net of fees and calculated based upon actual fees paid out of the account. The performance data included herein was derived by geometrically-linking daily returns. Periods greater than one year are annualized.

**Net of Fees:** If performance is reported net of fees, the results reflect the deduction of the management or advisory fees applicable to the account.

**Gross of Fees:** If performance is reported gross of fees, the results do not reflect the deduction of the management or advisory fees applicable to the account. However, please note mutual fund performance figures reflect internal mutual fund fees and expenses.

**For complete information on any of the funds in your portfolio, including management fees and expenses, please consult the prospectus you received. You should always read the prospectus carefully to obtain the most current and complete redemption fee policy information. Always consider the funds' investment objectives, risk factors and charges and expenses before investing.**

Past performance is not indicative of future results. Asset allocation/diversification does not guarantee a profit or protect against a loss. There may be a potential tax implication with a rebalancing strategy, so please consult your tax advisor before implementing such a strategy. JPMorgan Chase Bank, N.A. and its affiliates do not offer legal, tax or accounting advice. Clients are urged to consult their own legal, tax and accounting advisors with respect to their specific situations.

<sup>6</sup> For **Portfolio and/or Account Performance**, the return cannot be calculated because during the period indicated the account(s) was not open. For **Asset Class Performance and/or Security Performance**, the return cannot be calculated for periods before the account(s) was open, or for periods before 1/1/2013, whichever is later.

<sup>45</sup> The performance prior to 1/1/2013 was calculated using historical monthly performance. Performance for periods after 1/1/2013 was calculated based on a daily time-weight rate of return.

Indices are unmanaged and intended only to provide a hypothetical representation for use as a benchmark for the portfolio allocation. They were chosen for their general relevance to the asset classes represented and are provided for comparative purposes only. There may be material differences between the historical returns of an index versus those in the actual asset class or classes it represents, based upon the makeup of the actual investment portfolio of the mutual funds, ETFs or SMAs it represents. The performance of an index does not reflect the deduction of fees or expenses that are associated with a mutual fund, ETF, SMA or an advisory account, such as investment management fees. Indices are not actually securities, so you cannot invest directly in an index or achieve its exact portfolio returns. Client accounts may hold far fewer securities which could represent a greater level of volatility and risk than an index.

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1155-ALB-CLIENT REPORT GUIDE-04-20